

# Biometric System Security

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*<http://biometrics.cse.msu.edu>*

# Identity Questions

- Should this person be granted a visa?
- Has this person already been issued a driver license?
- Is this person authorized to access the information?
- Is the person withdrawing money from the ATM machine really the account holder?

# How Do I know Who You Are?

Current Methods based on credentials (passwords and ID) are not adequate

The nineteen 9/11 hijackers had a total of 63 valid driver licenses

~5 million identity thefts in U.S. in 2004

6.7 million victims of credit card fraud

People do not protect their credentials

# Too Many Passwords!

Copyright 1996 Randy Glasbergen. www.glasbergen.com



**“Sorry about the odor. I have all my passwords tattooed between my toes.”**

- Heavy web users have an **average of 21 passwords**; 81% of users select a common password and 30% write their passwords down or store them in a file. *(2002 NTA Monitor Password Survey)*

# Phishing

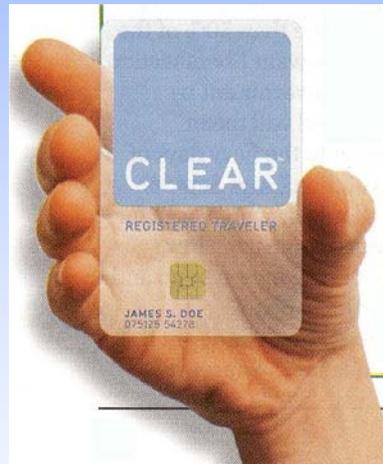


© Scott Adams, Inc./Dist. by UFS, Inc.

“A recent survey found 70% of those asked said that they **would reveal their computer passwords for a bar of chocolate. Sweet!**” (Technology Review, March 2005, p. 78)

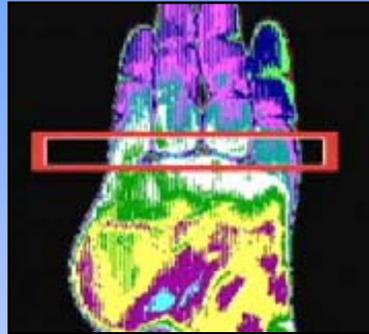
# Biometric Recognition

Personal recognition based on “who you are” as opposed to/in conjunction with “what you know” (PIN) or “what you have” (ID card)

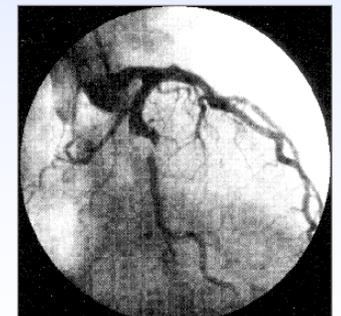
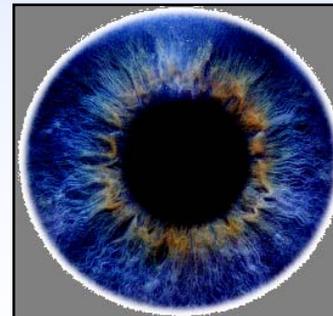
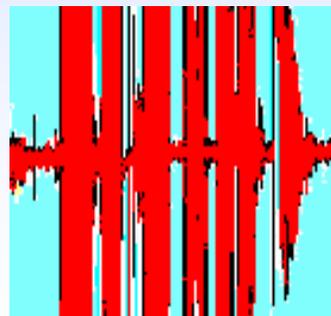
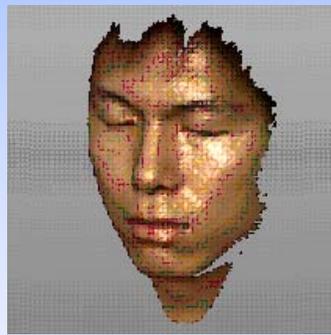


Recognition of a person by his body, then linking that body to an externally established “identity”, forms a very powerful tool for identity management

# Biometric Traits



Joe Smith



# Applications

**Goal:** Automatic & reliable person identification in unattended mode, often remotely



Iris matching:  
Heathrow Airport



US-VISIT  
Program



Cellular phone:  
Siemens



Grocery store  
payment: Indivos

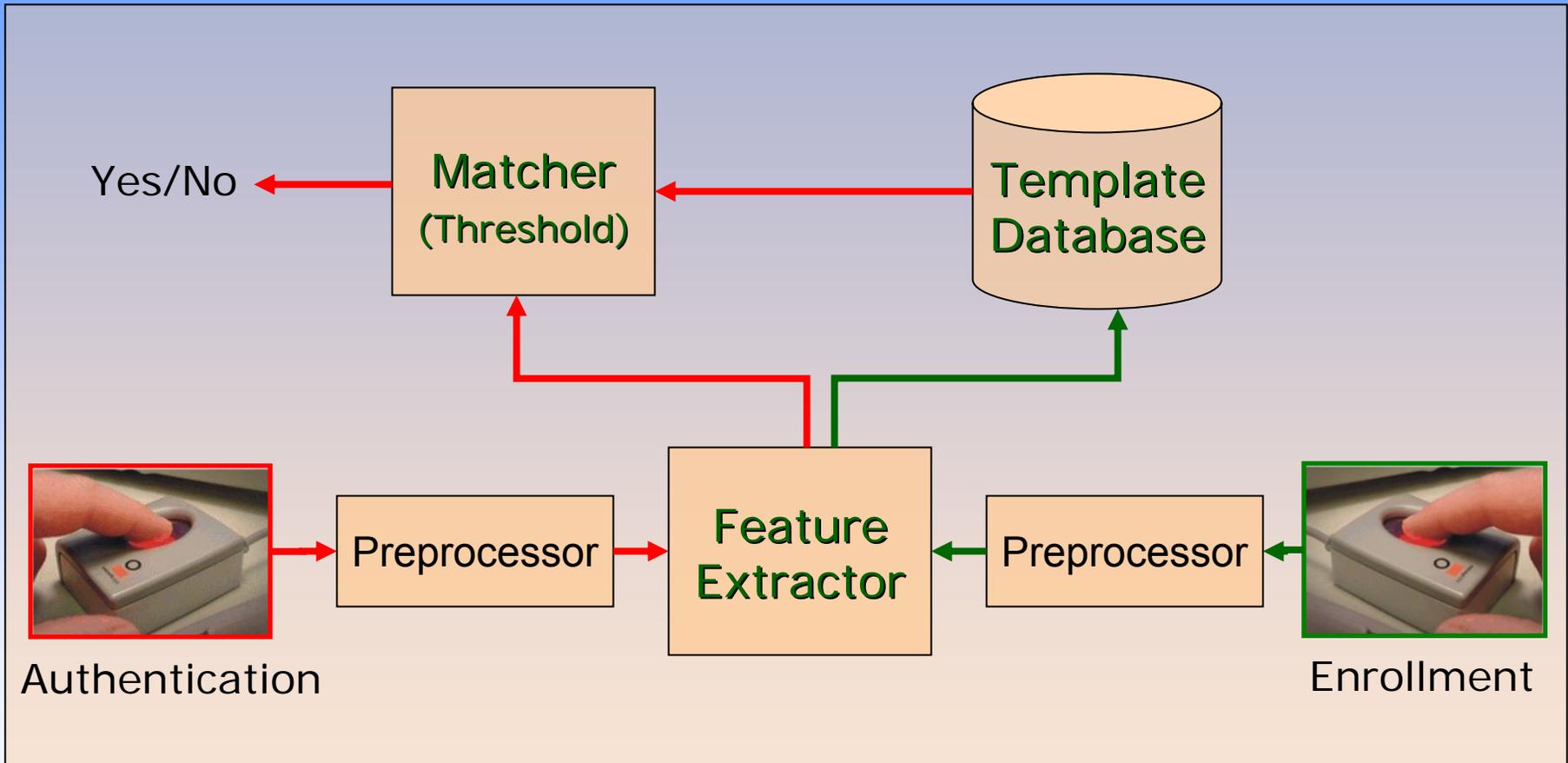


Automobile: Audi A8



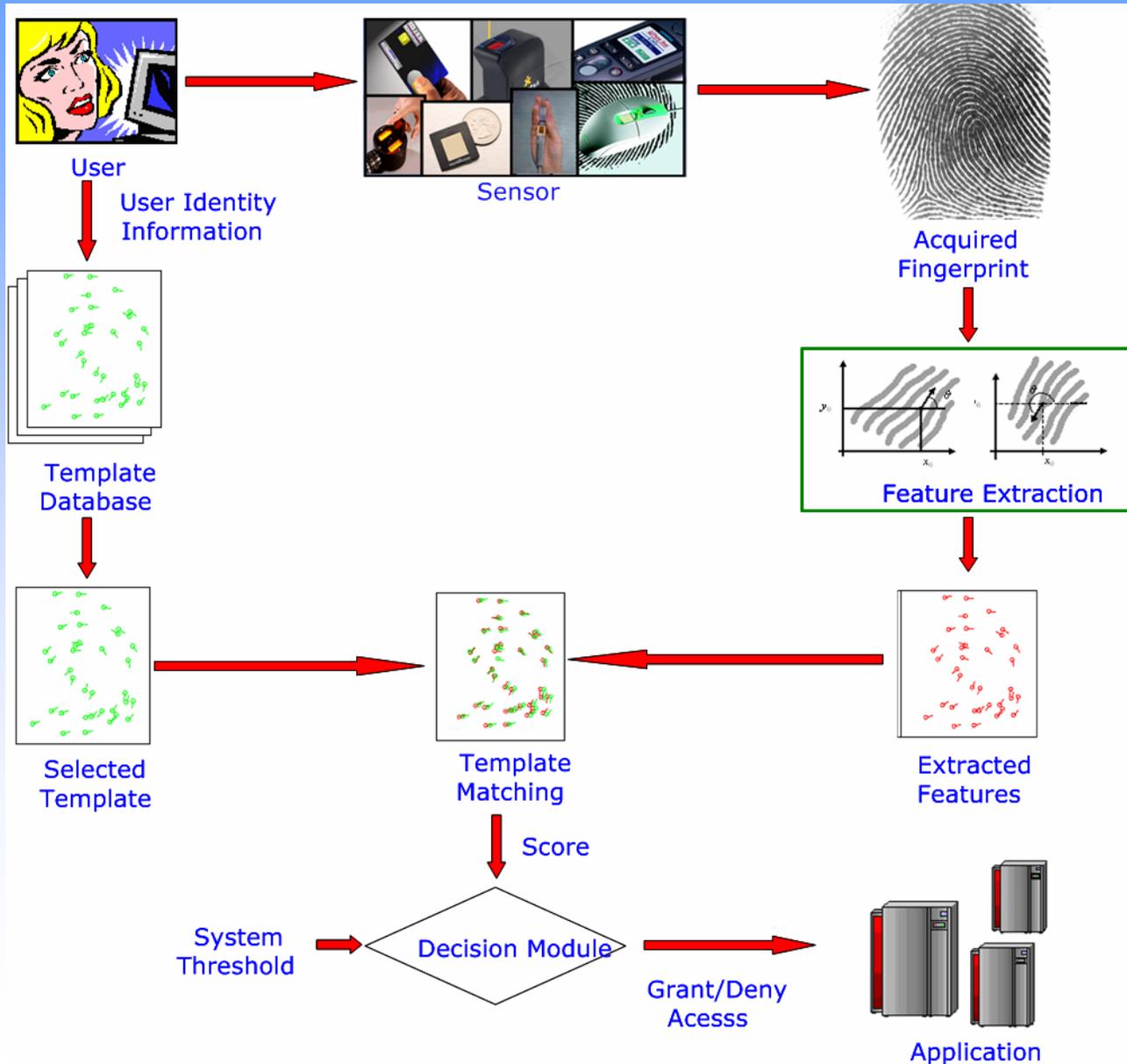
Disney World

# Biometric Recognition System



- False accept rate (**FAR**): Proportion of imposters accepted
- False reject rate (**FRR**): Proportion of genuine users rejected
- Failure to enroll rate (**FTE**): portion of population that cannot be enrolled
- Failure to acquire rate (**FTA**): portion of population that cannot be verified

# Fingerprint System



# Challenges

- Accuracy
- Cost
- Speed
- Ease of Use
- Failure to Enroll
- Robustness
- Security
- Privacy



Return on investment

# "State-of-the-art" Error Rates

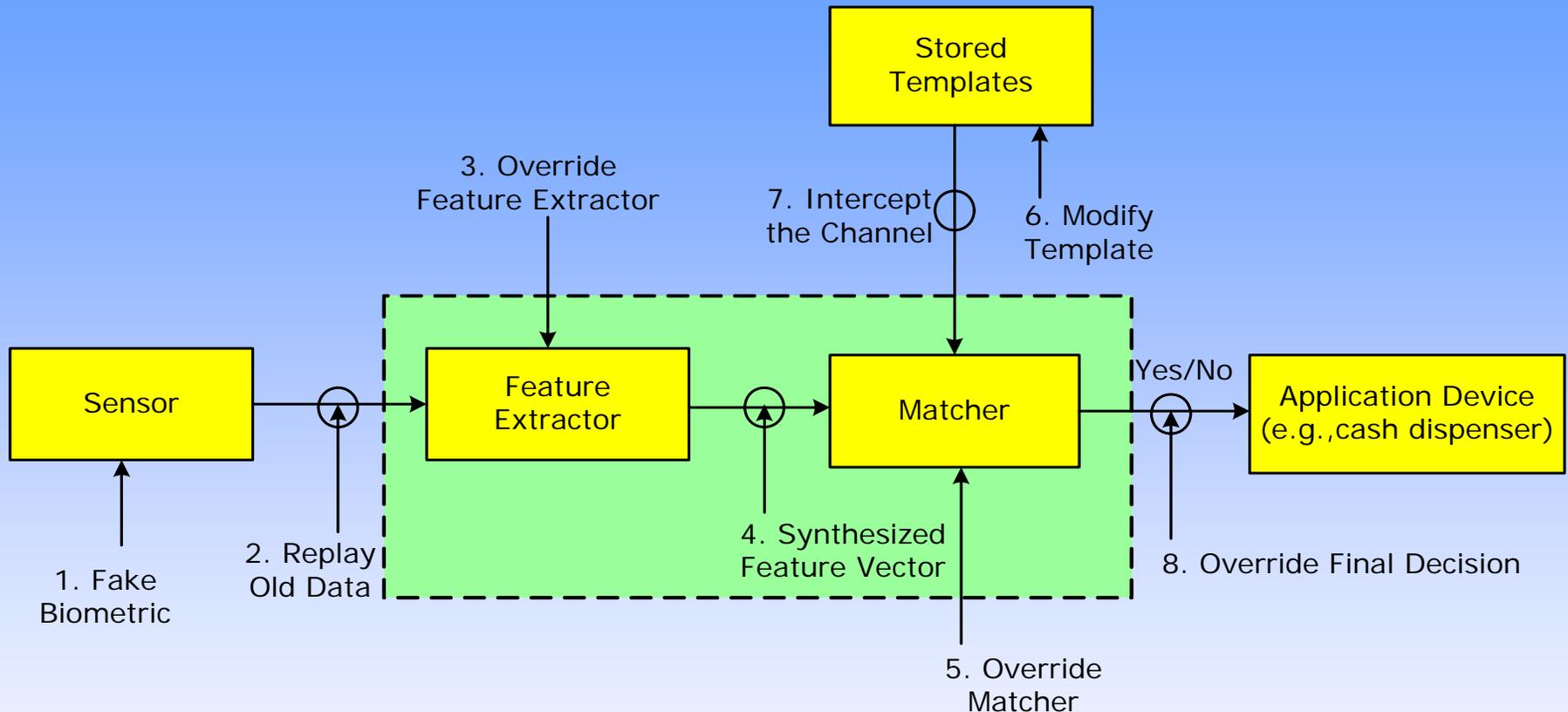
|             | Test            | Test Parameter                        | False Reject Rate | False Accept Rate |
|-------------|-----------------|---------------------------------------|-------------------|-------------------|
| Fingerprint | FVC<br>[2004]   | 20 years<br>(average age)             | 2%                | 2%                |
|             | FpVTE<br>[2003] | US govt. ops.<br>Data                 | 0.1%              | 1%                |
| Face        | FRVT<br>[2002]  | Varied lighting,<br>outdoor/indoor    | 10%               | 1%                |
| Voice       | NIST<br>[2004]  | Text<br>independent,<br>multi-lingual | 5-10%             | 2-5%              |

At NY airports, an average of ~ 200,000 passengers pass through daily. There would be 4,000 falsely rejected (and inconvenienced) passengers per day for fingerprints, 20,000 for face and 30,000 for voice. Similar numbers can be computed for false accepts

# Biometric System Security

- Number of installed biometric systems in both commercial and government sectors is increasing
- Size of the population that uses some of these systems is extremely large (**US VISIT program**)
- New emerging applications in laptops, mobile phones, e-commerce, health care records,....
- **What happens if the biometric system fails to recognize you or recognizes you as someone else?**
- The potential **damage** resulting from security breaches in biometric systems can be enormous!

# Biometric System Attacks



**Type 1**: A fake biometric is presented at the sensor; **Type 2**: Illegally intercepted data is resubmitted (replay); **Type 3**: Feature detector is replaced by a Trojan horse program; **Type 4**: Legitimate features are replaced with synthetic features; **Type 5**: Matcher is replaced by a Trojan horse program; **Type 6**: Templates in the database are modified; **Type 7**: Template is intercepted & altered in the channel; **Type 8**: Matching result (e.g., accept/reject) is overridden

# Attack at the Sensor Level

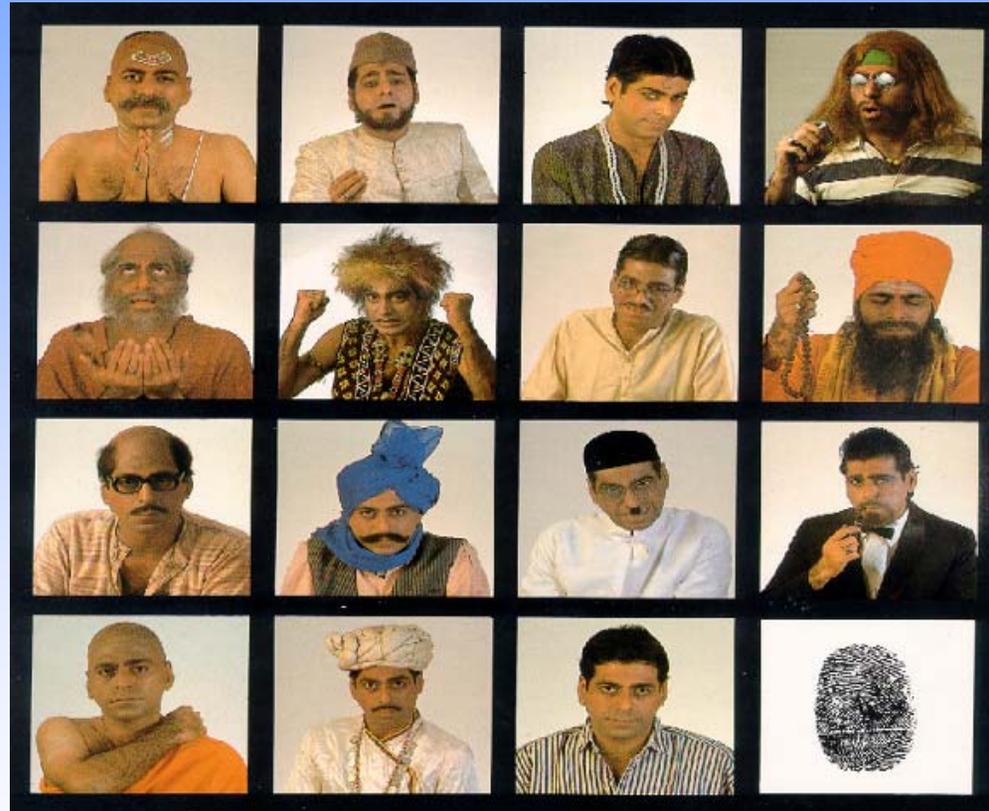
- Coercion
- Camouflage
- Synthetic biometric with/without user's cooperation



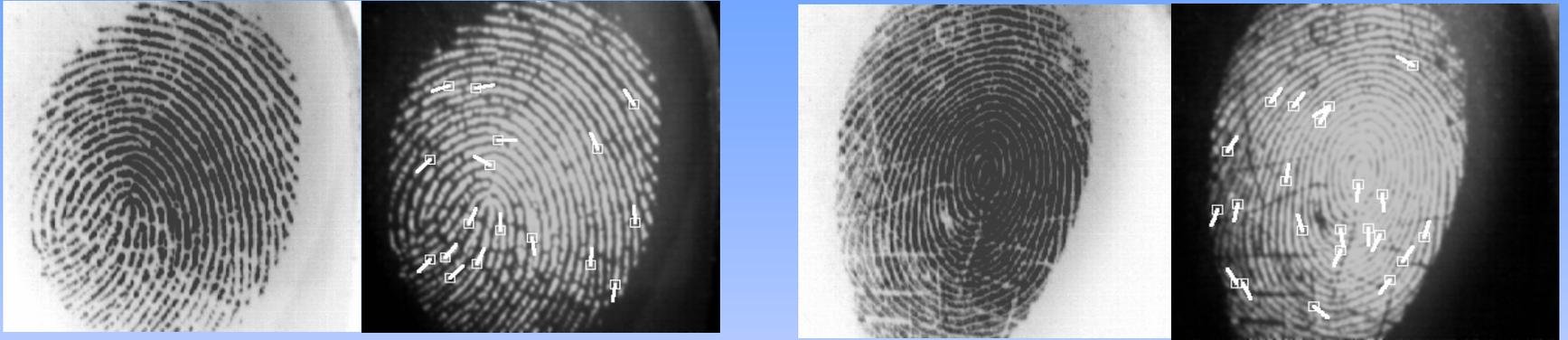
“Police in Malaysia are hunting for members of a violent gang who chopped off a car owner's finger to get round the vehicle's hi-tech security system. The car, a Mercedes S-class, was protected by a fingerprint recognition system.”

BBC News, 31 March, 2005

# Camouflage



# Fake Fingerprints



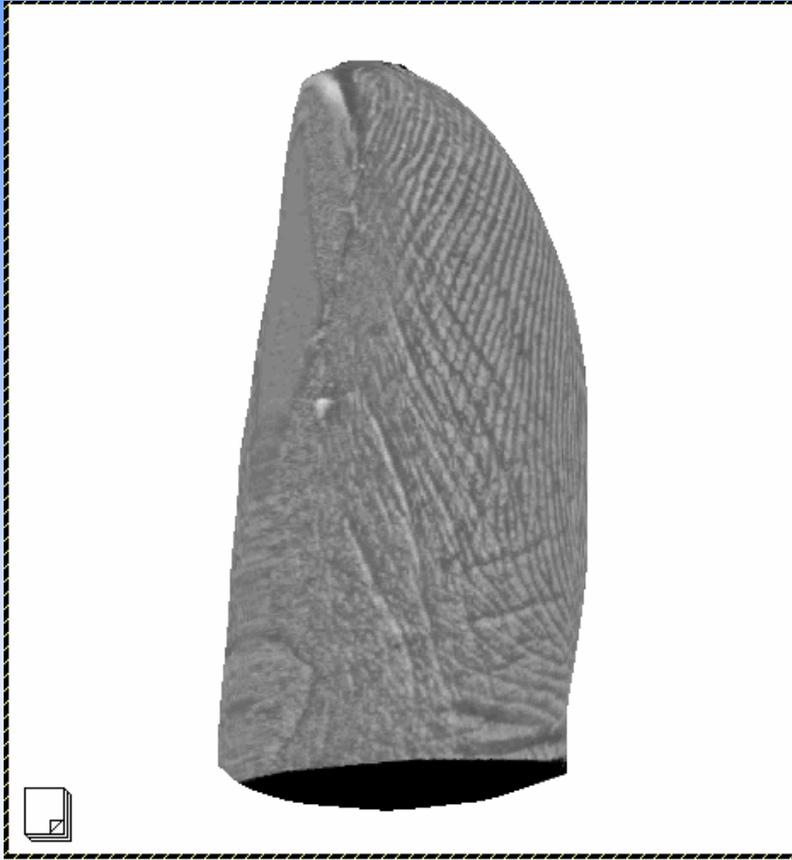
Live finger



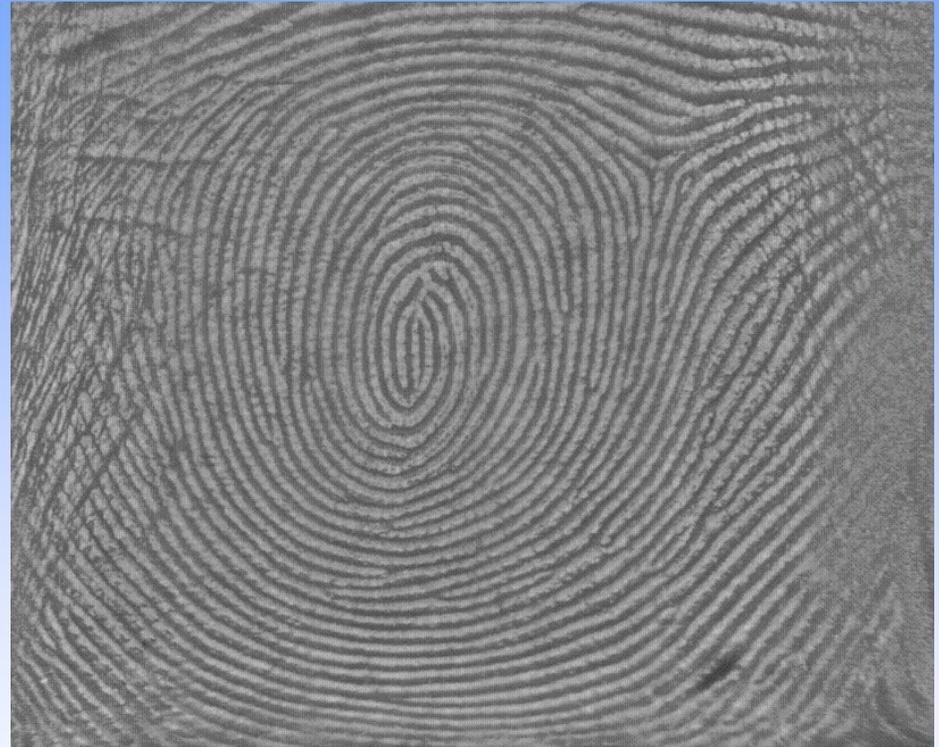
Gummy finger

Access was granted 75% of the time using gummy fingers

# Touchless Sensors



Touchless 3D image



Touchless "rolled" image

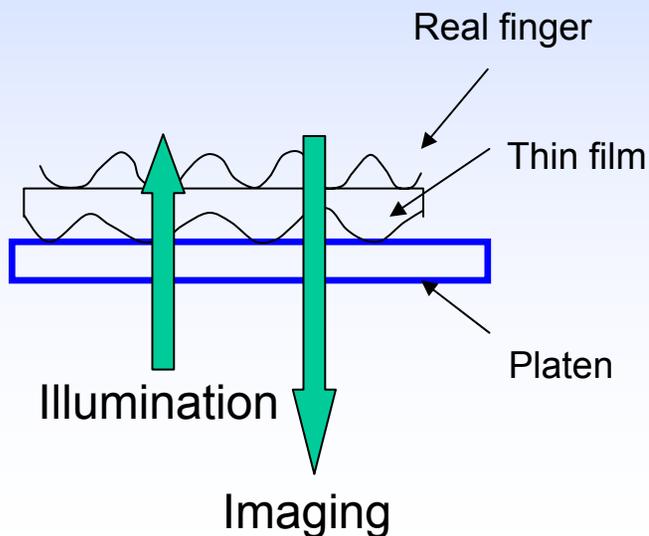
Courtesy: TBS North America, Inc.

# High Resolution Sensors

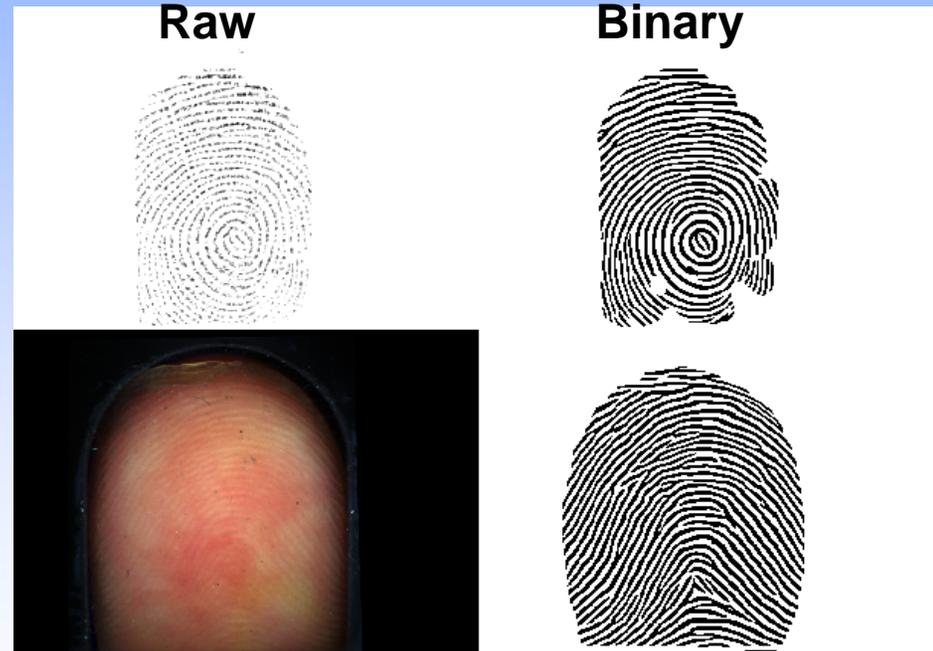


# Spoof Detection With Multispectral Imaging

If a very thin latex membrane is placed over a real finger, optical readers only image surface topography but MSI can see through the membrane to image underlying fingerprint features.



**Optical**

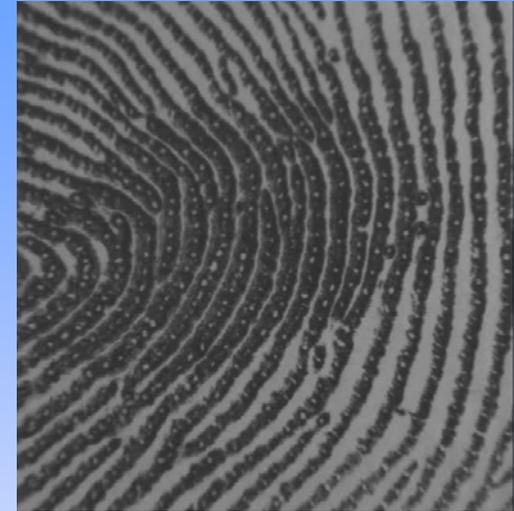


**Lumidigm**

Real fingerprint seen through the spoof

# Deformation-Based Spoof Detection

Live finger



<http://www.cim.mcgill.ca/~vleves/homepage/>

Gummy finger



# TPS: Estimating Deformation

Assume that (i) enrollment image is from a live finger and (ii) image quality is good for minutiae extraction and correspondence

- Source  $U = \{u_1, u_2, \dots, u_n\}$ ,  $u_i = (u_{xi}, u_{yi})$
- Target  $V = \{v_1, v_2, \dots, v_n\}$ ,  $v_i = (v_{xi}, v_{yi})$
- Deformation\*

$$F(u_i) = \underbrace{c + Au_i}_{\text{Affine}} + \underbrace{W^T s(u_i)}_{\text{Non-Affine}} = v_i$$

Size of  $W$ :  $n \times 2$   
Depends on the number of correspondences

Distance Vector:

$$s(u_i) = (\sigma(u_i - u_1), \sigma(u_i - u_2), \dots, \sigma(u_i - u_n))^T$$

Radial Basis function:

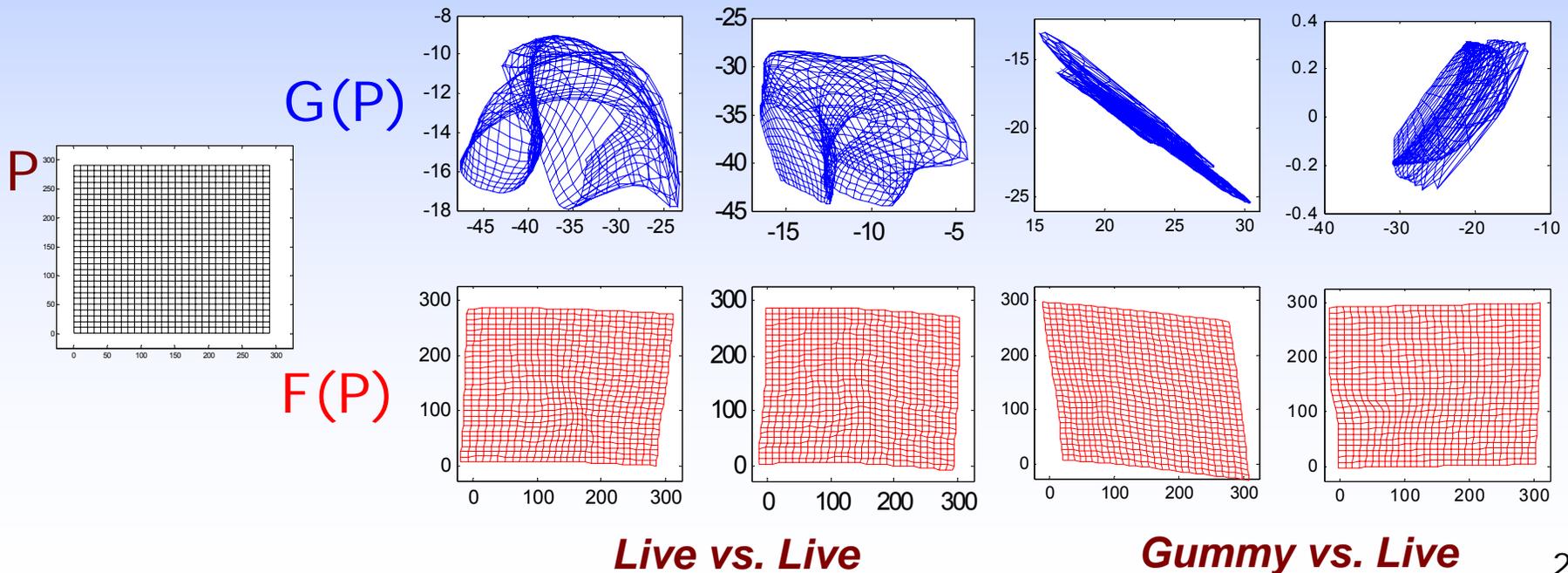
$$\sigma(u_i - u_j) = r^2 \log r, r = \|u_i - u_j\|$$

# Fingerprint Liveness Detection

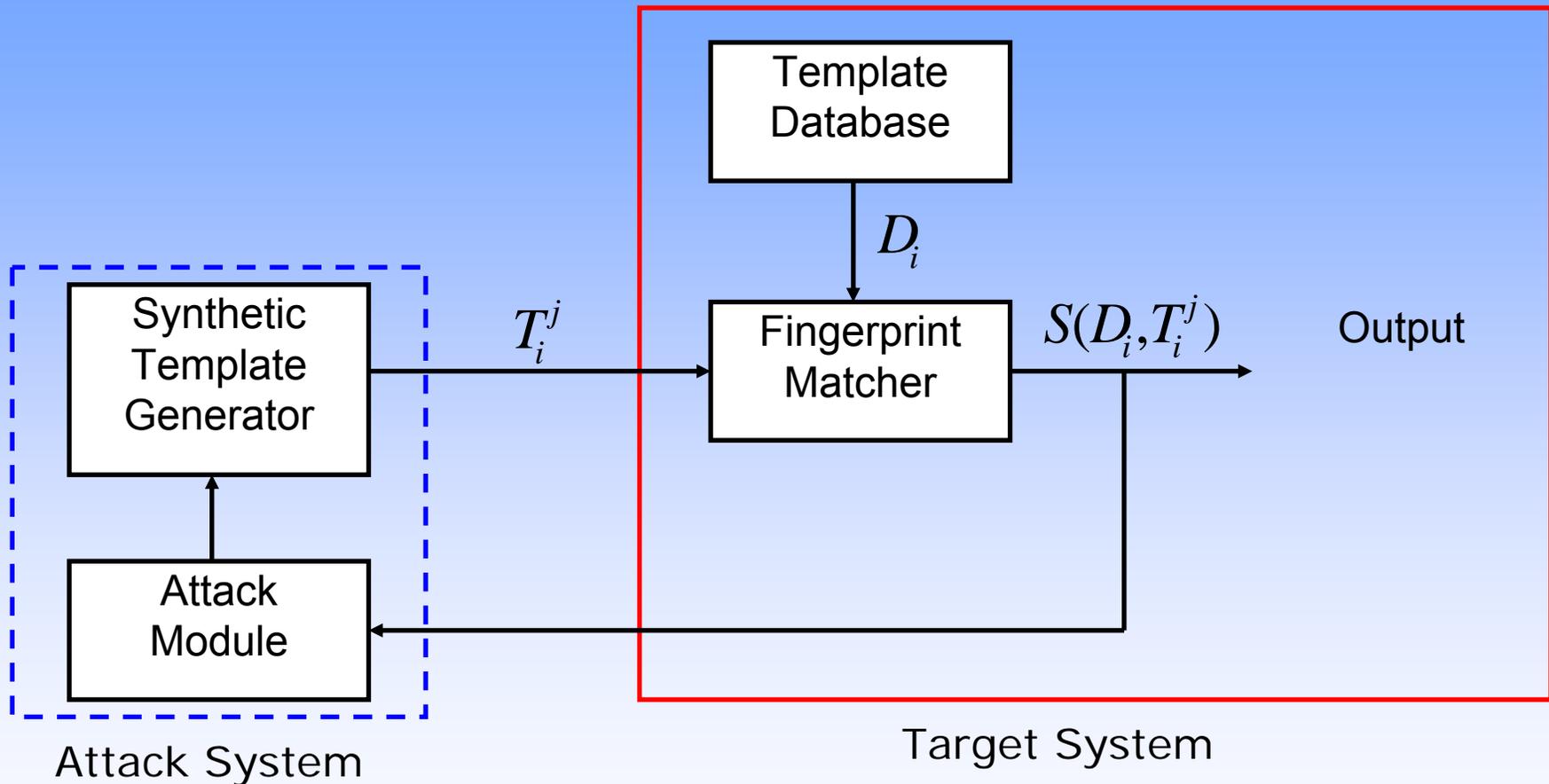
Estimate **deformation (TPS)** among all possible (genuine) *live vs. live* and *gummy vs. live pairs*; *~82% accuracy*

$$G(p_i) = W^T s(p_i) \quad (\text{non-affine})$$

$$F(p_i) = c + Ap_i + G(p_i) \quad (\text{affine+non-affine})$$

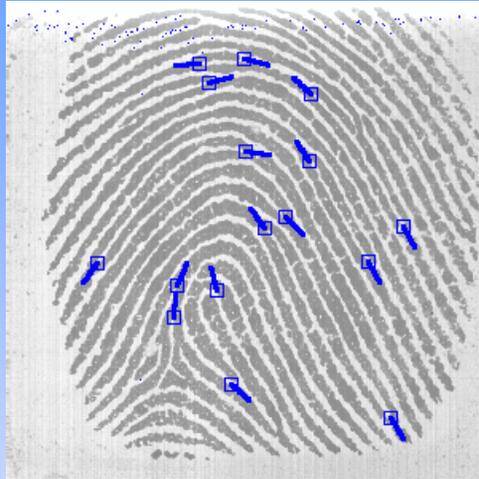


# Hill-Climbing Attacks

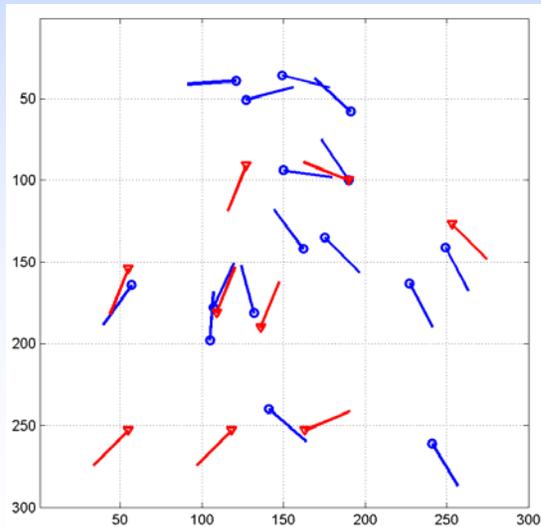


**Bypass Feature Extractor:** Inject random minutiae set and modify it iteratively to improve the matching score

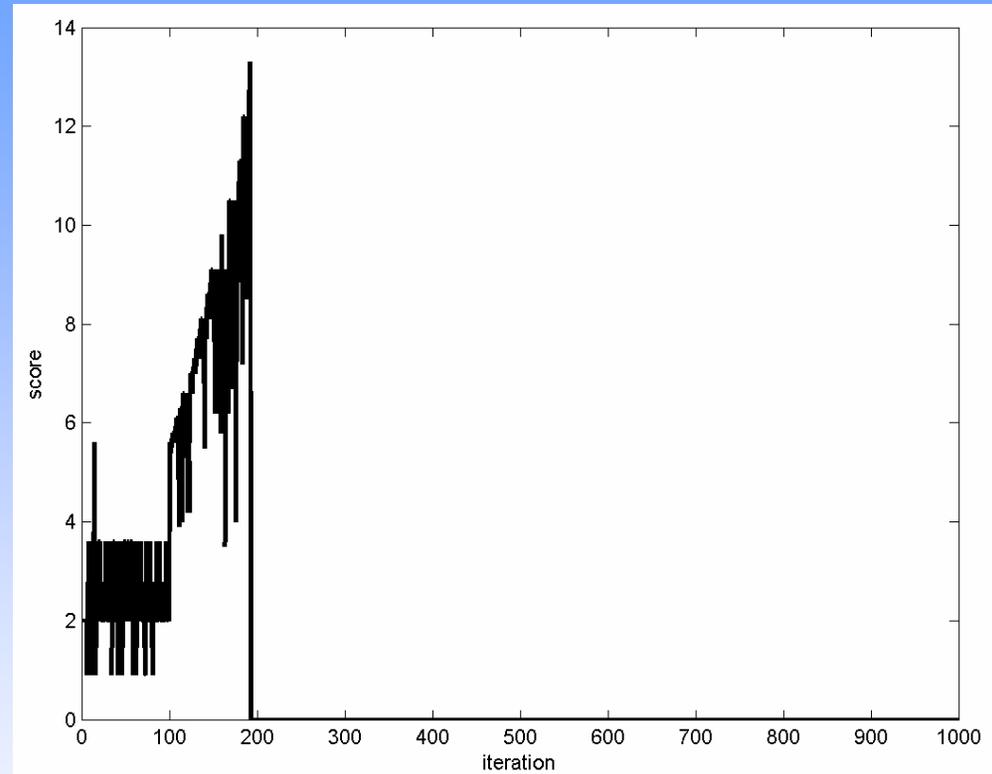
# Injecting Random Minutiae Sets



Original image with minutiae



Synthetic ( $\nabla$ ) and original (o) minutiae

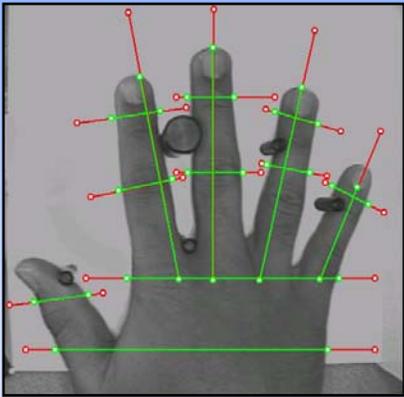


Progression of matching scores

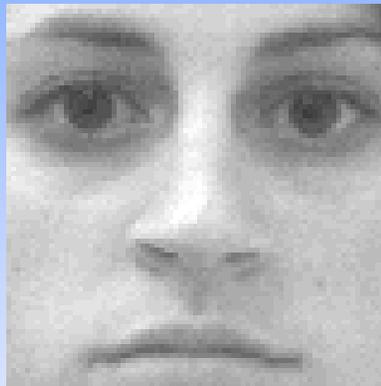
Account broken at iteration# 192: original template has 16 minutia; synthetic template has 10 minutia; 5 minutiae match; final matching score: 13.3

# Biometric Template Protection

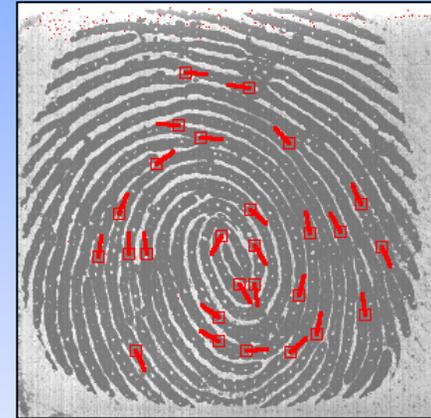
- A **prototype** of an individual's biometric that is stored in (i) database or (ii) smart card



Hand geometry



PCA coefficients



Minutiae features

**"A true fingerprint image cannot be created from master template.."**

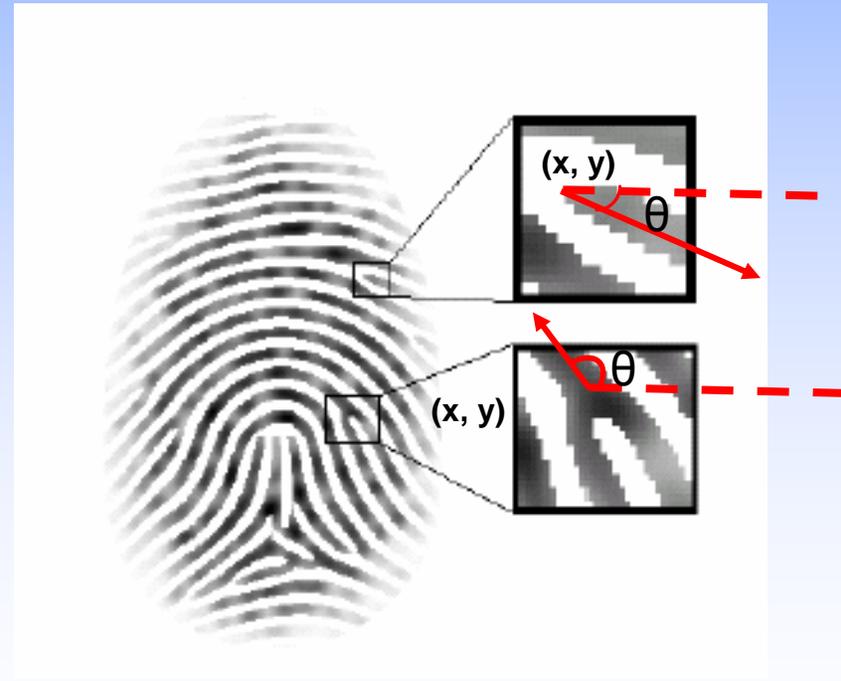
<http://www.biometricaccess.com/support/bacfaq09.html>  
<http://www.digitalpersona.com/support/faqs/privacy.html>

# Fingerprint Reconstruction From Minutiae Template

How much information does the minutiae distribution reveal about the original fingerprint?

Given a minutiae template  $(X_i, Y_i, \theta_i)$ :

1. Estimate ridge flow
2. Predict class (A, L, R, W)
3. Reconstruct fingerprint

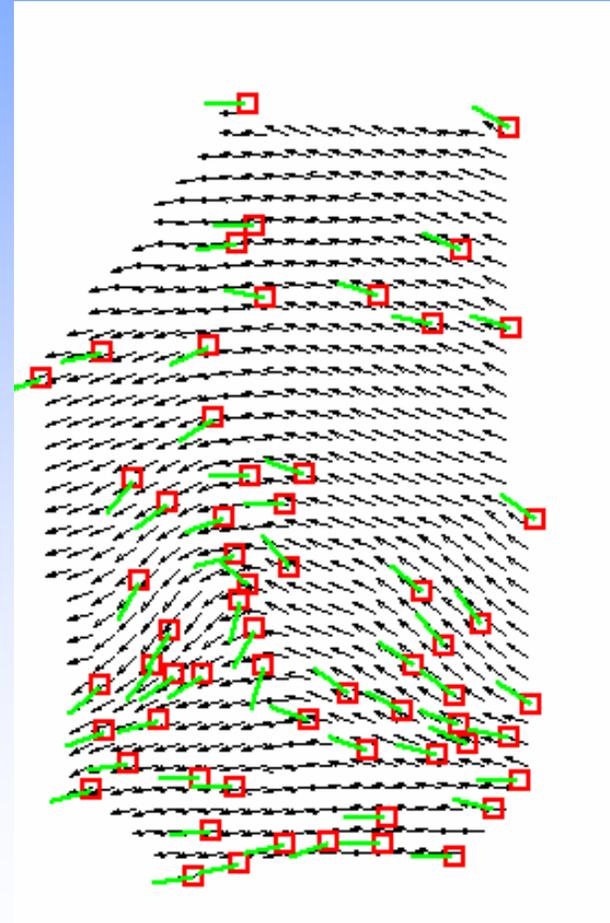


# Orientation Estimation

Minutiae orientations represent direction of ridge flow;  
Interpolate ridge flow using group of minutiae



**Original fingerprint**

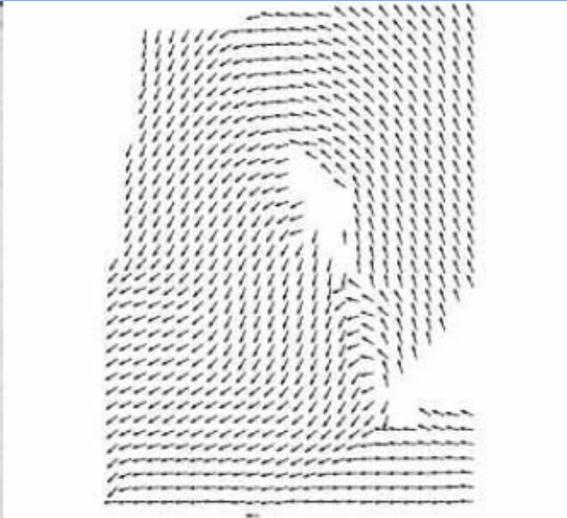


**Estimated orientation field**

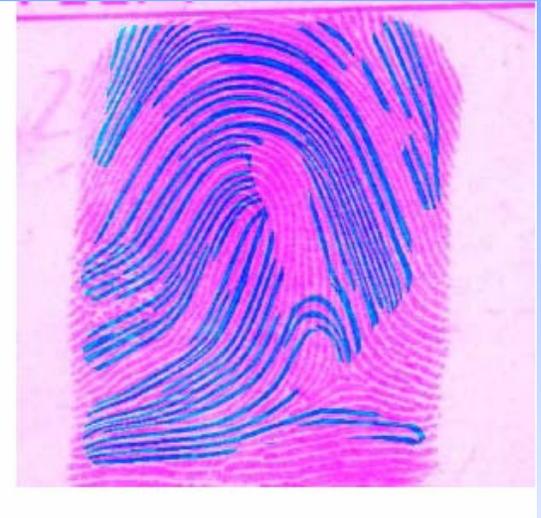
# Fingerprint Reconstruction



Original fingerprint  
with minutiae



Orientation field  
estimated using  
minutiae



Reconstructed  
fingerprint overlaid  
on original

Reconstructed artifacts were matched with the true fingerprints with **23%** success

# Template Protection

## *Encryption*

- Template is still vulnerable when it is decrypted

## *Watermarking*

- Any tampering of the image can be identified

## *Steganography*

- Hide the template in a carrier (cover) image

## *Transformed Template*

- Store a (non-invertible) transformed version of the template

# Template Encryption

- Store or transfer only encrypted template E, encrypted (e.g., using AES, RSA) with the secret key KE:

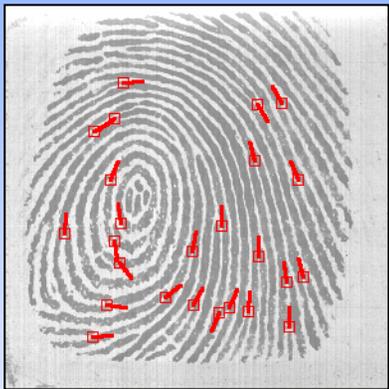
$$E = \text{ENCRYPT}(T, KE)$$

- Decrypt the template **only when necessary** with the appropriate decryption key, KD:

$$T = \text{DECRYPT}(E, KD)$$

- **Template is secure while encrypted:** Without KD, E can not be converted back to T
- But, Matcher needs the original template and **decrypted templates are still vulnerable to attacks!**

# Hiding Minutiae in Face



Minutiae

| x   | y   | $\theta$ |
|-----|-----|----------|
| 76  | 216 | 242      |
| 121 | 195 | 255      |
| 136 | 82  | 292      |
| 136 | 229 | 248      |
| 170 | 90  | 262      |
| 172 | 169 | 270      |
| 178 | 46  | 274      |
| 184 | 85  | 82       |
| 192 | 146 | 281      |
| 196 | 198 | 270      |
| 201 | 89  | 52       |
| 212 | 233 | 255      |
| 216 | 220 | 262      |
| 228 | 125 | 321      |
| 234 | 79  | 8        |
| 234 | 147 | 298      |
| 236 | 175 | 295      |
| 240 | 167 | 112      |
| 259 | 68  | 356      |
| 60  | 92  | 356      |
| 77  | 197 | 58       |
| 88  | 85  | 144      |
| 98  | 69  | 332      |
| 239 | 190 | 274      |
| 251 | 222 | 270      |

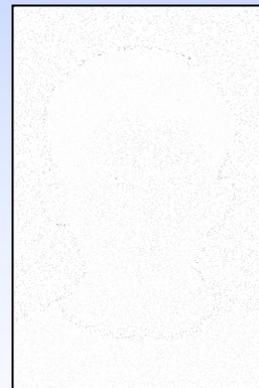
Minutiae attributes



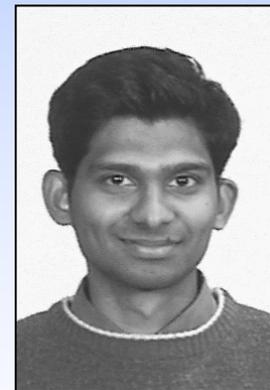
Host face image



Marked face image

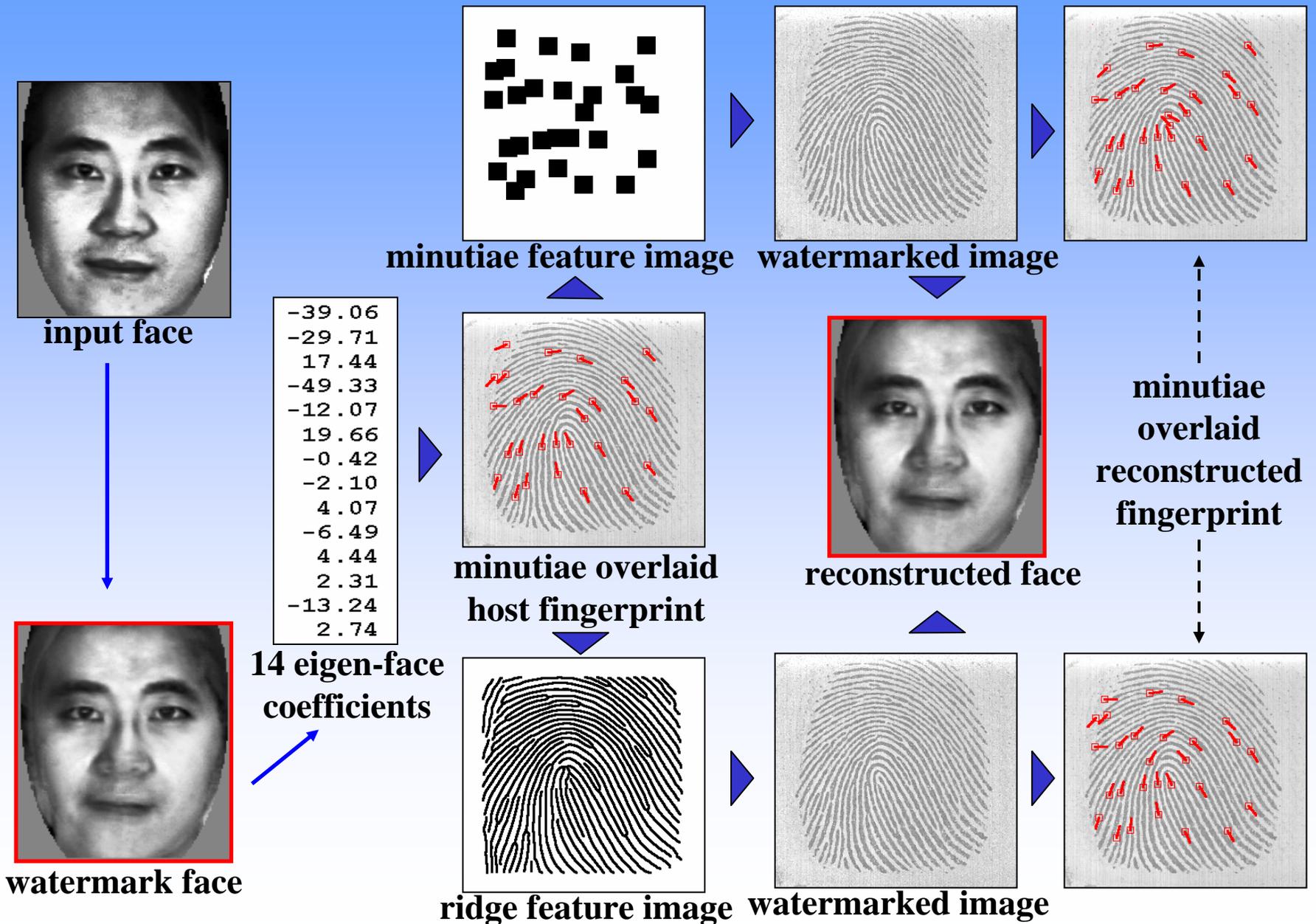


Negative of  
difference image

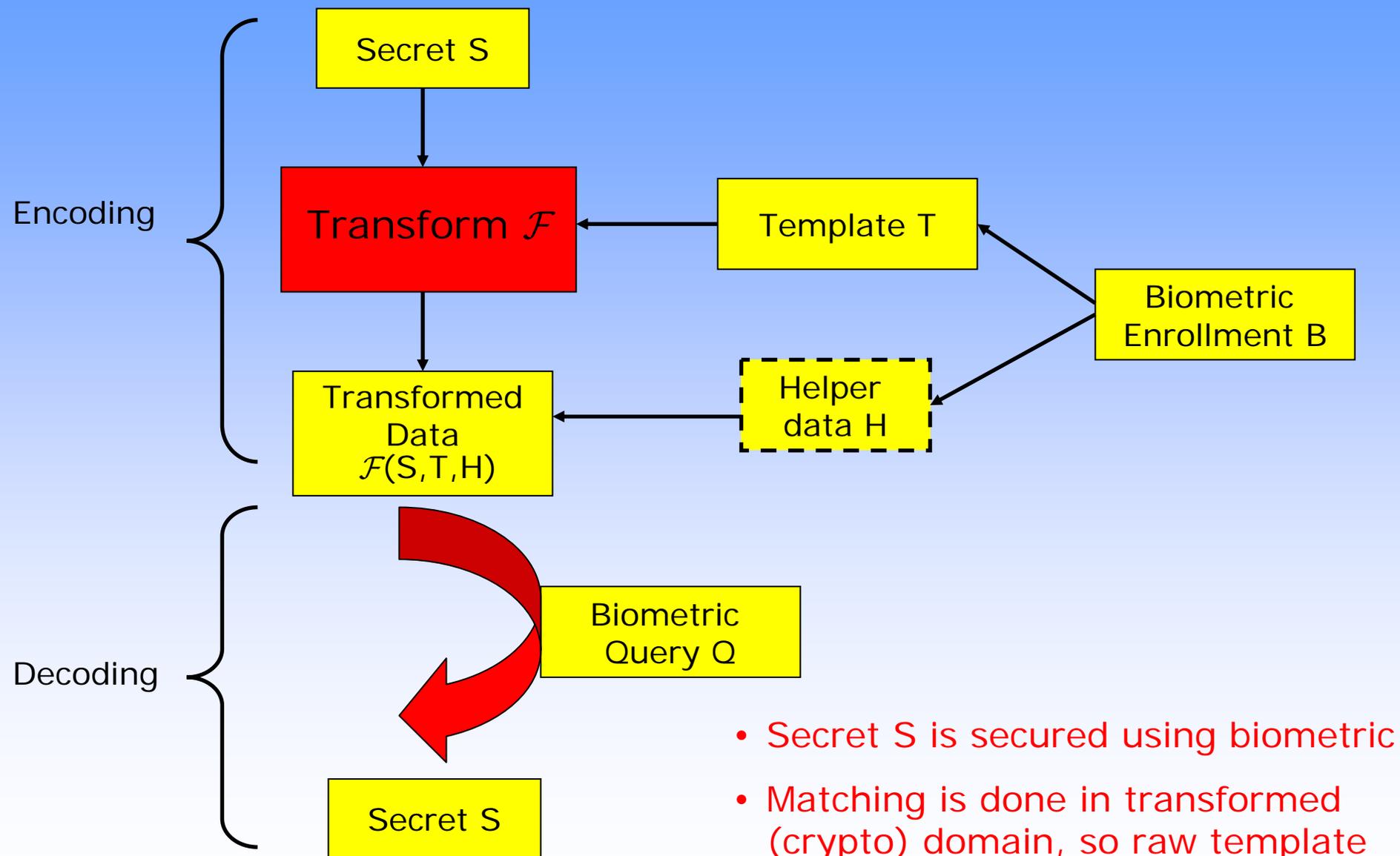


Reconstructed  
face image

# Hiding Eigenfaces in Fingerprints



# Biometric Cryptography



- Secret S is secured using biometric
- Matching is done in transformed (crypto) domain, so raw template is not stored

# Fuzzy Vault

- Alice places a secret **S** in a vault and locks it using a set **A**
- Bob uses an unordered set **B** to unlock the vault:  
successful iff **B** and **A** overlap **substantially**

Alice locks her phone no. in the vault using her favorite movies:

Alice:

S = 555 4321 +

A = {Rambo 1,  
Rambo 2,  
Rocky 2,  
Spider-man}



555 4321

Unlocking attempts:

Bob: succeeds

555 4321

+

B = {Rocky 2,  
Cat-woman,  
Rambo 1,  
Rambo 2}



555 4321

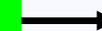
⇒ "Fuzzy" vault

Charlie: fails

555 4321

+

C = {Titanic,  
Love Actually,  
Casablanca,  
Annie Hall}



555 4321

# Fuzzy Vault: Challenges

- **Intra-class Variability**
  - Images may differ w.r.t. rotation, translation, deformation & no. of minutiae
- **Alignment of Template & Query**
  - How to align template & query since the template is not available at decoding time!

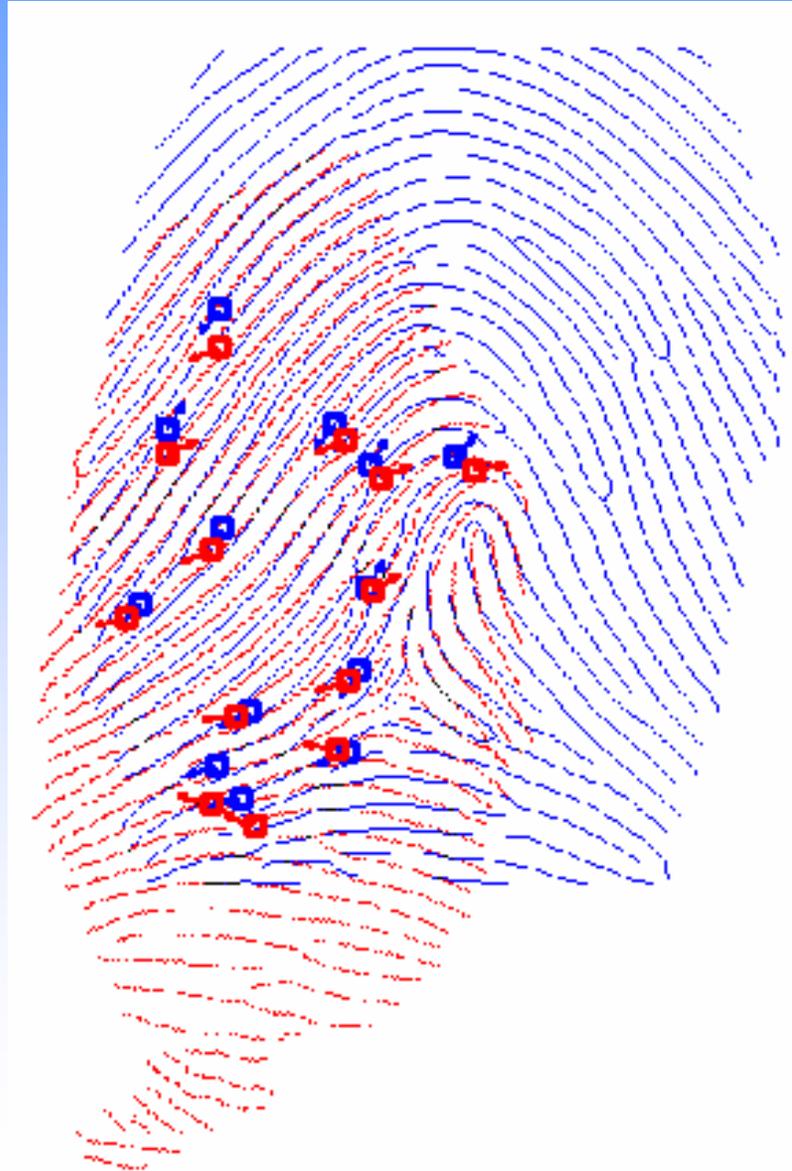
# Intra-class Variability



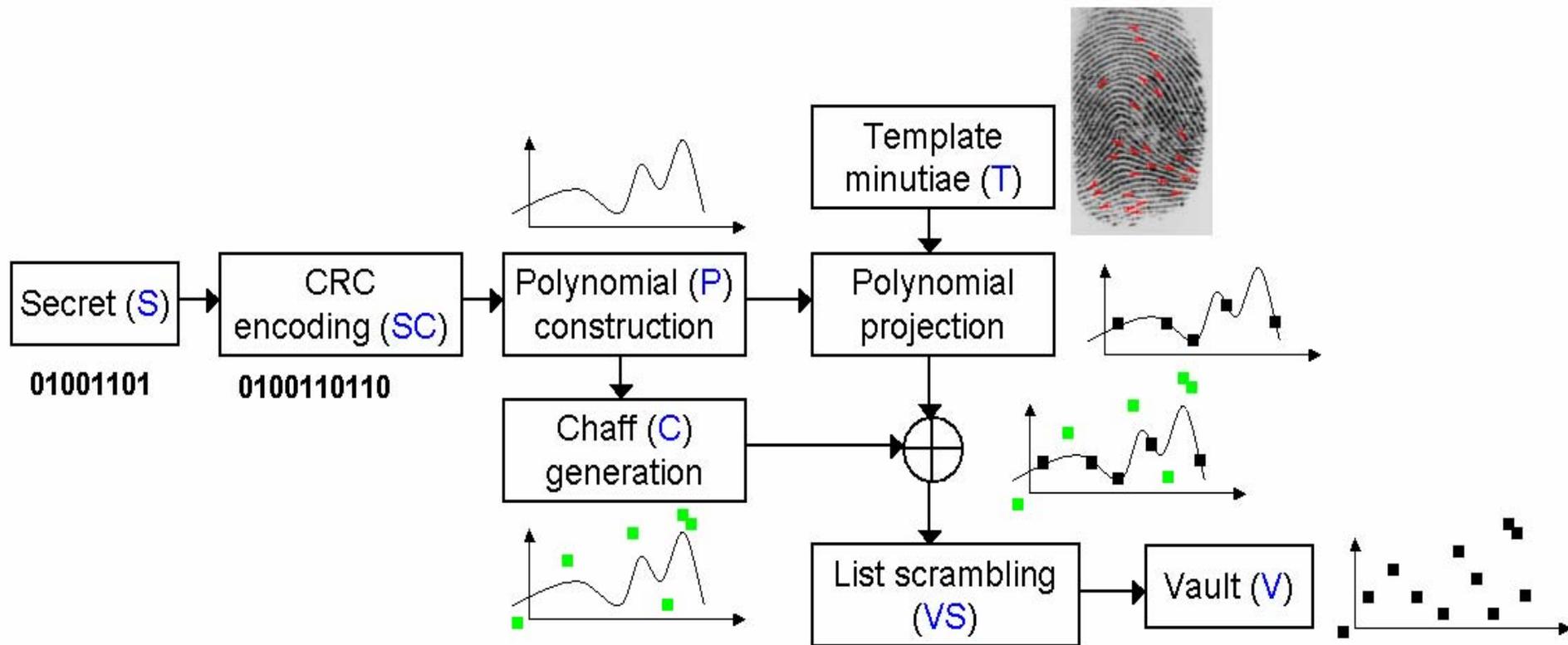
y

x

# Alignment

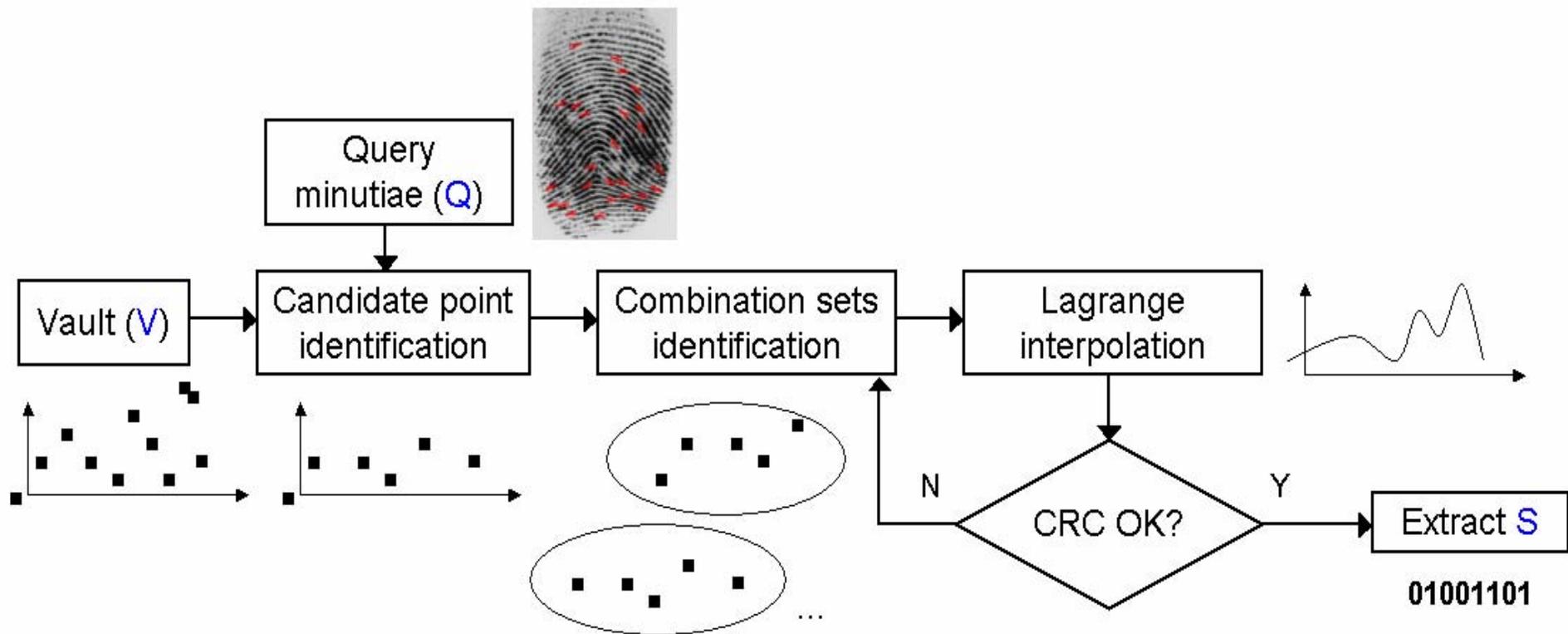


# Fuzzy Fingerprint Vault Encoding



- Secret S is secured using template minutiae T:
  - T generates points on the polynomial P, chaff points are also added to this point list to increase security
  - Cyclic Redundancy Check is used for error detection during decoding
- Final vault is a 2D point list, with no minutiae vs. chaff point separation

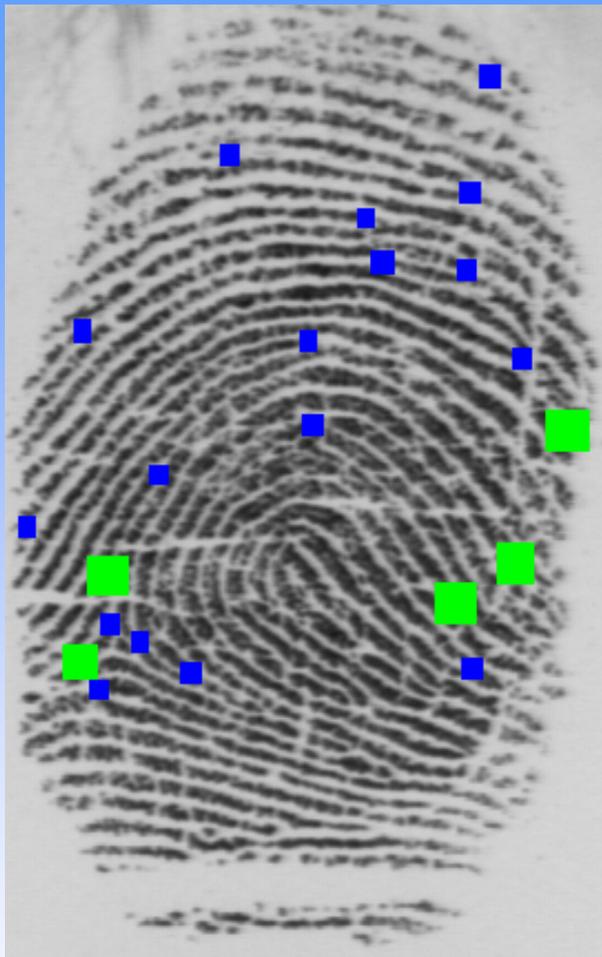
# Fuzzy Fingerprint Vault Decoding



- Query minutiae  $Q$  extracts the secret  $S$  from the vault:
  - $Q$  picks candidate points from the vault to be used in decoding
  - Lagrange interpolation using subsets of this candidate list results in the decoded polynomial (and the secret  $S$ )

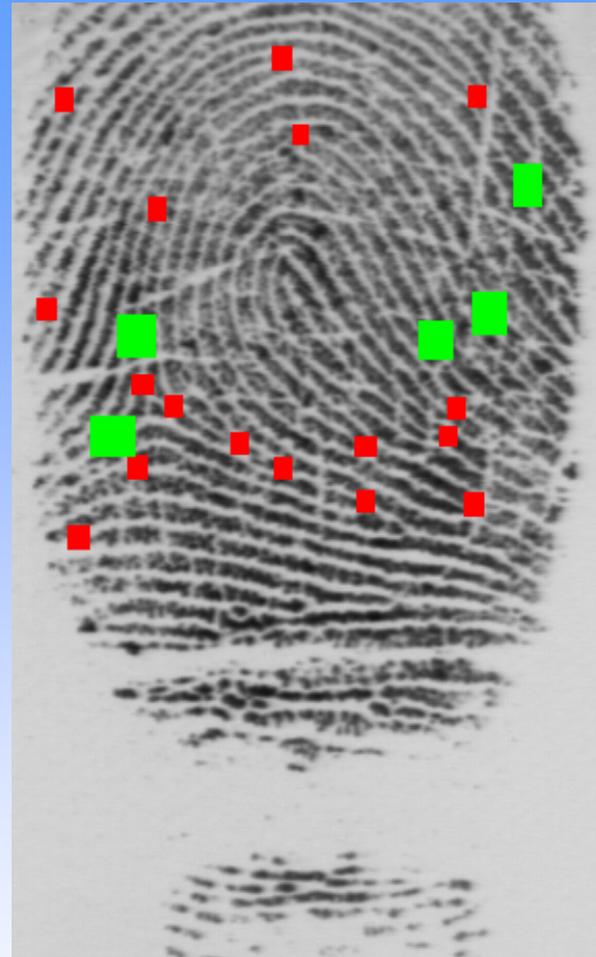
# Performance of Fingerprint Vault

- Fingerprint database (229 300x400 pairs)
  - Minutiae correspondences manually established
    - **16-bit** minutiae locations are used for securing the vault; secret S: **128-bit** AES key
    - Polynomial degree  $p=8$ , # minutiae = **22**, #chaff points = **200**
- **Genuine Accept Rate: 85%**
  - 34 out of 229 query templates **could not** separate sufficient no. of ( $\geq 9$ ) genuine points from chaff points
- **False Accept Rate: 0%**



template fingerprint

(marked: 22 locking minutiae, **5 common**)



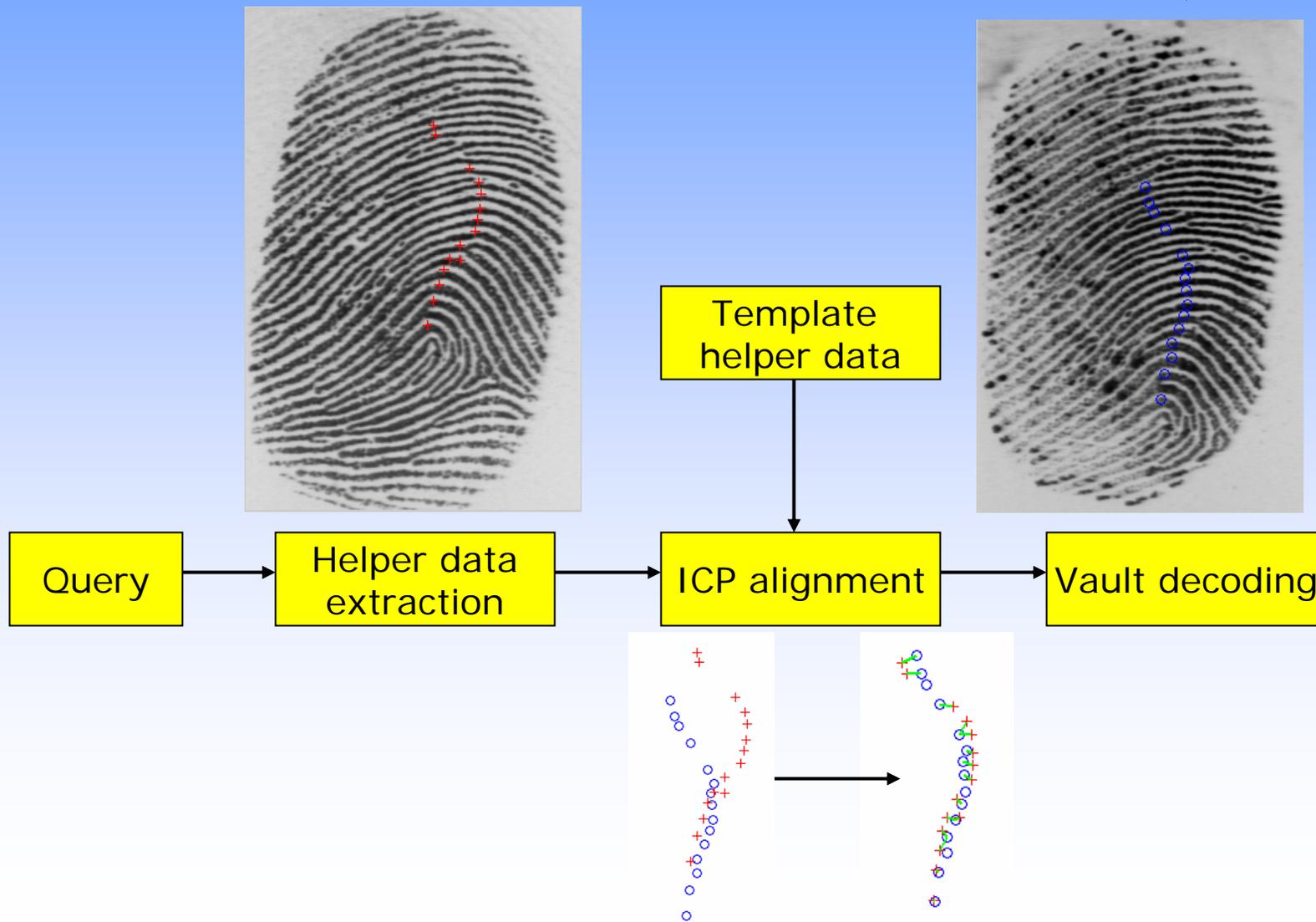
query fingerprint

(marked: 22 unlocking minutiae, **5 common**)

False Reject: Only 5 genuine points found during decoding; this is due to large **intra-class variations**

# Automatic Fingerprint Alignment

- Align query fingerprint with template helper data (points of maximum curvature on Orientation Field Flow curves)



# Britain's Identity Crisis

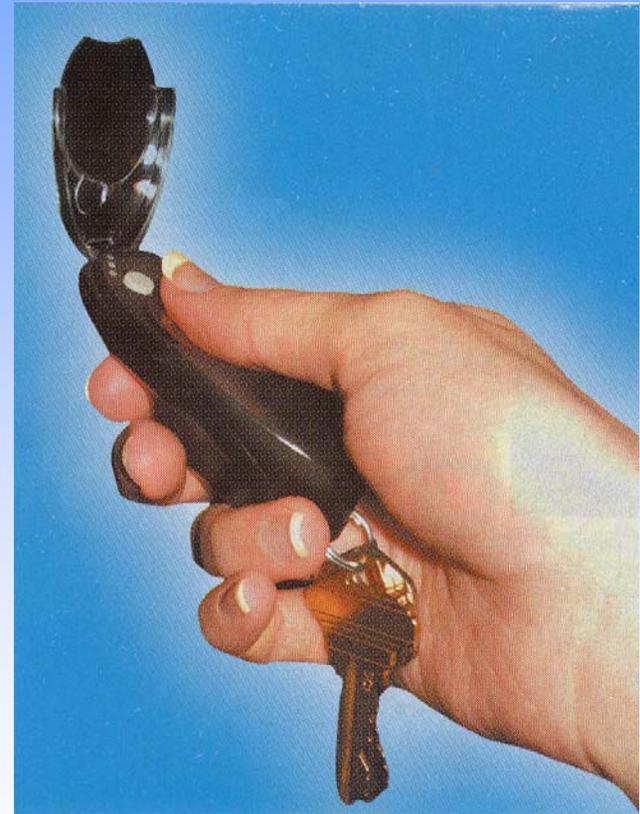
Proposed biometric ID cards won't prevent fraud or terrorism (IEEE Spectrum, Jan 2006)

- Proposal: Issue everyone an ID card with a microchip containing personal and biometric data. Data will also be stored in a central database
- Proponents: Card-database combination will provide a foolproof ID check
- Critics: (i) How much will ID cards cut down identity theft and terrorism? (ii) Total cost: 10-20 billion pounds, (iii) central database subject to failure and denial-of-service attacks, (iv) identification accuracy may not be adequate to handle ~50 million users
- Central database is "poor security and poor privacy practice"

# Decentralization of Templates

Fingerprint ID For Wireless Keys, IEEE Spectrum, Jan 2006)

- A fingerprint scanner is placed in a battery-powered device that fits like a fob on a key chain. It can communicate with either RFID readers or Bluetooth radios
- A typical use is as an RFID key for access control
- Fingerprint matching takes place inside the device, so fingerprint never leaves the device; fob can be reprogrammed only by the machine that set it up.



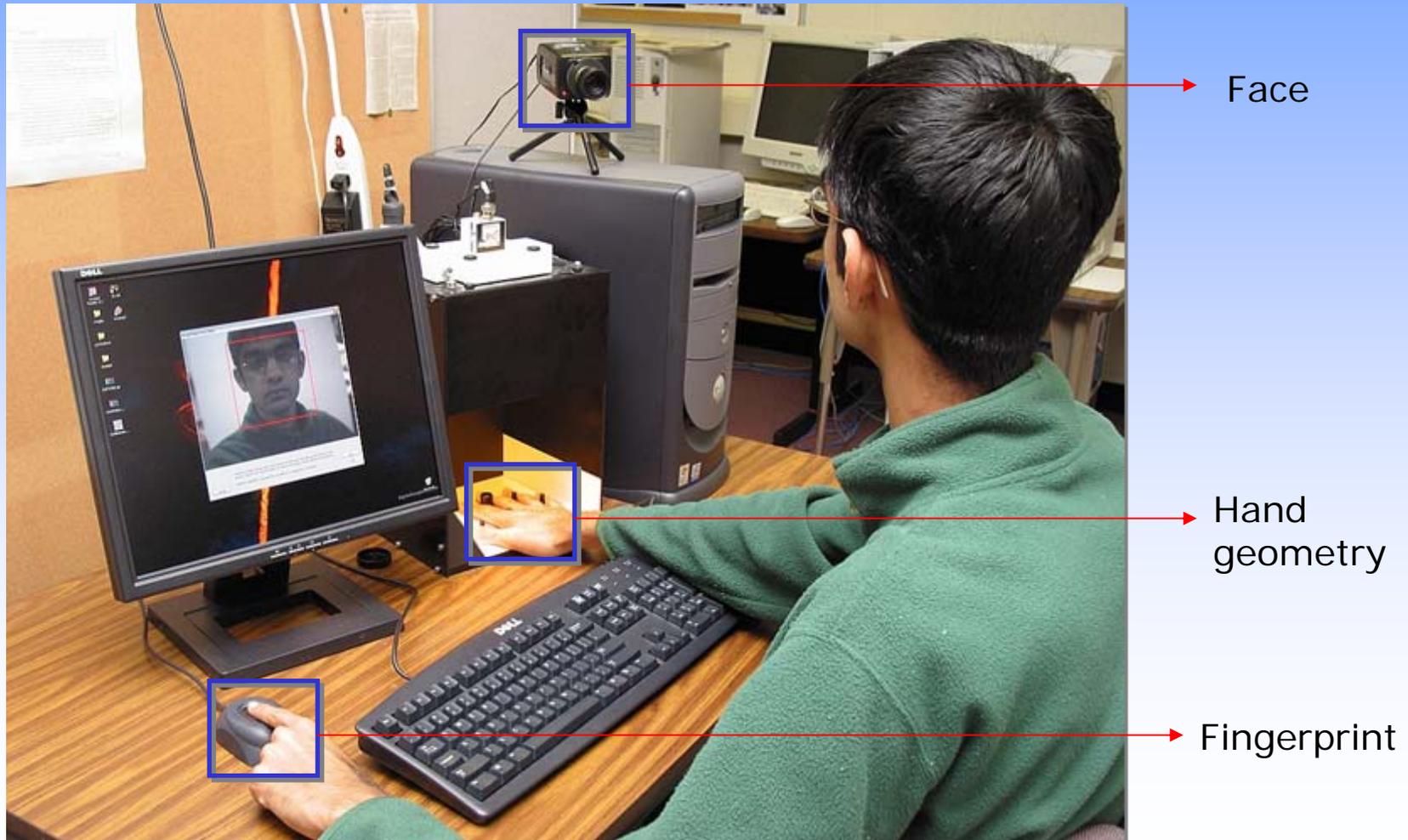
[www.privaris.com](http://www.privaris.com)

# Other Attacks

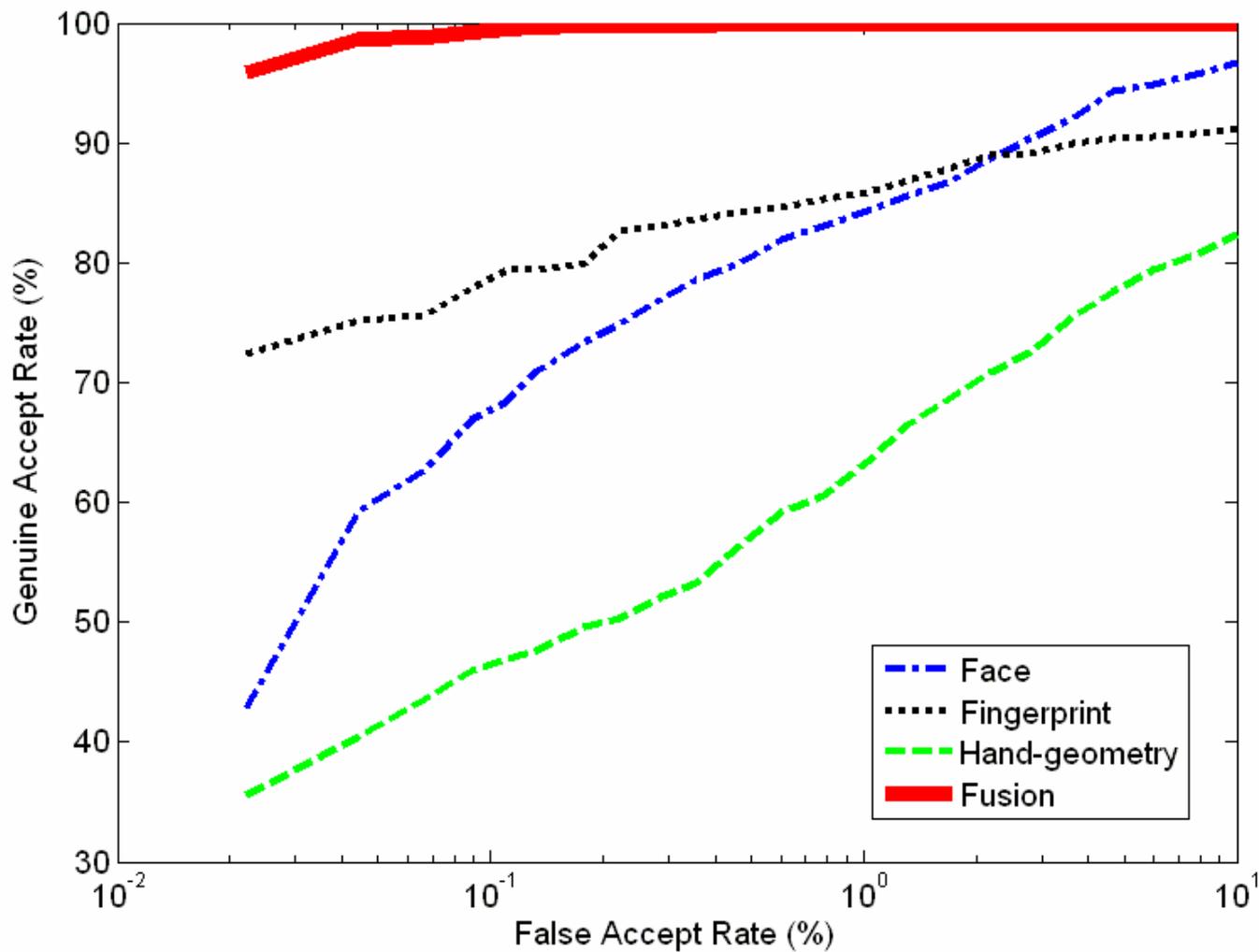
- Insider attacks
- Integrity of the enrollment process
- Once initial access is granted, an impostor can spoof the system in the absence of real-time continuous authentication
- Exception handling may introduce a weak link
- By providing poor quality images at input
- Biometrics is made ineffective by attacking other components of the security system

# Multibiometrics

Provides resistance against spoof attacks; also improves matching accuracy and population coverage

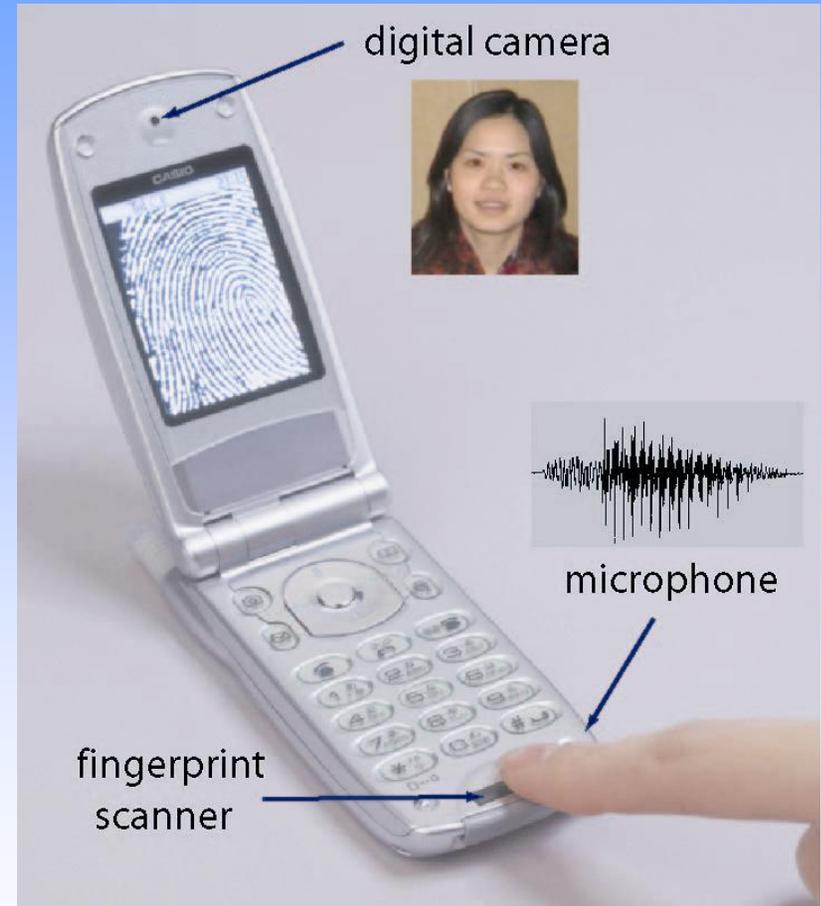


# Multibiometric System Performance



# Securing Wireless Devices With Multibiometric

- AuthenTec has sold **4 million fingerprint sensors** world-wide to provide secure authentication for **mobile commerce and mobile banking** applications



# Summary

- Biometrics are an essential component of any identity-based system, but they themselves are vulnerable
- Some of these attacks are simple to execute; solutions to these attacks have been identified, but there is still room for improvement
- Attacks on biometric systems can result in loss of privacy and monetary damage, so the users need to be convinced about the system protection
- New security issues with biometric systems may arise as their use becomes more widespread
- In spite of this, biometric systems are being deployed for securing international borders, controlling access and eliminating identity theft