

# Biometrics

## Successes, Innovations and Challenges

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Computer Analysis of Images and Patterns (CAIP), Las Palmas, September 23, 2025

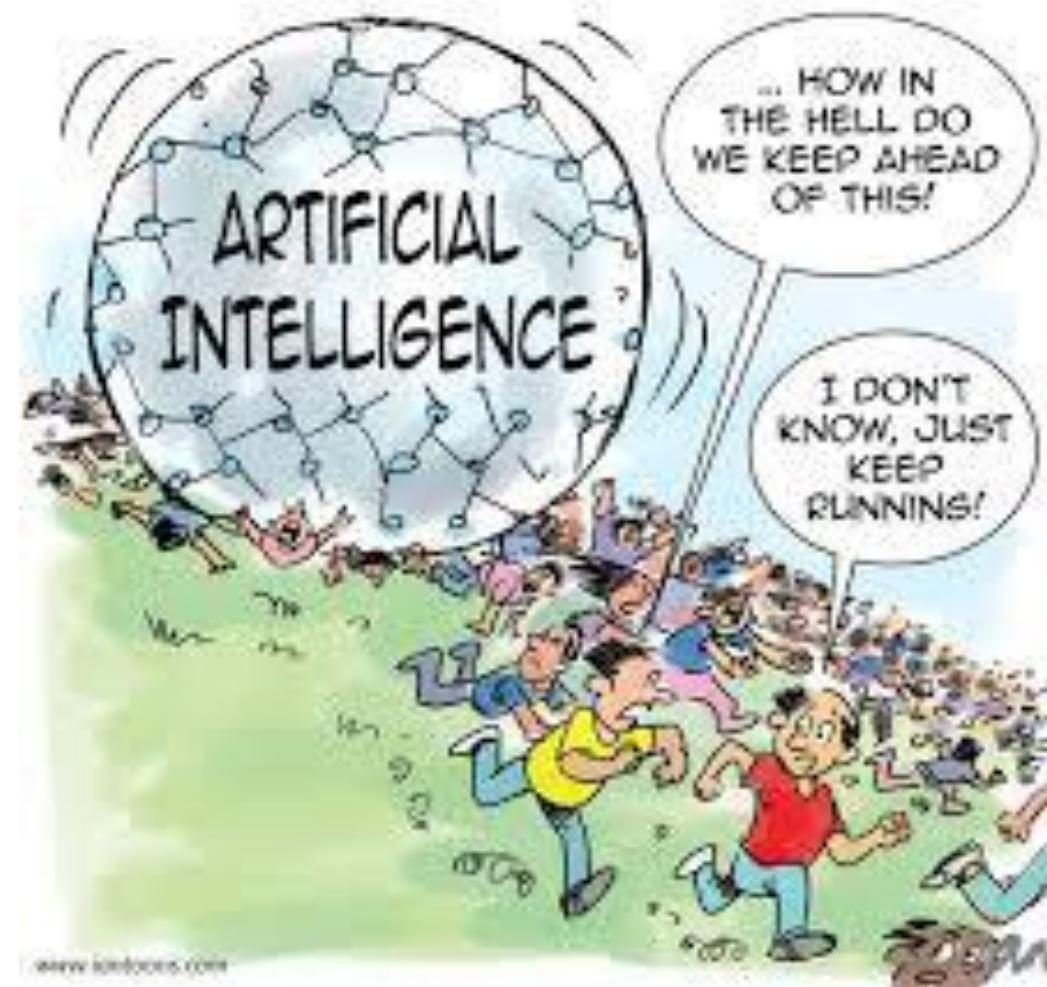


Images and pattern of human body

# Artificial Intelligence



“AI AI, AI, AI. enjoy and don’t cry; let Artificial Intelligence work for you.” (Bilbao, June 2025).



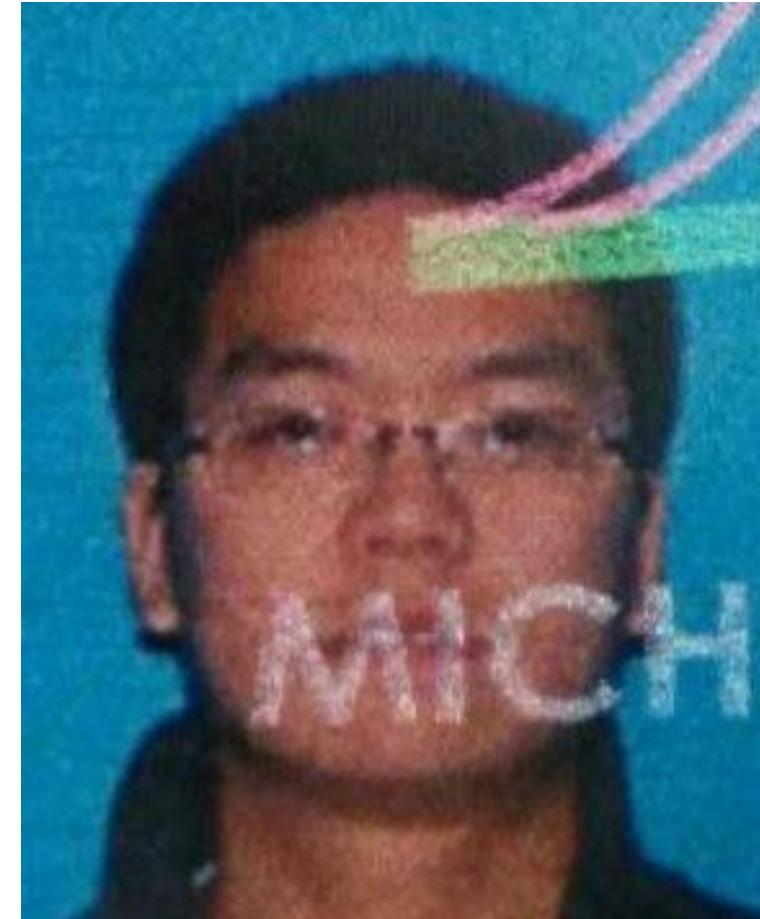
“Are we ready?” by Iantoon. AI is advancing at pace, but we do not have all the unintended consequences worked out yet.

# Authentication: Pairwise Similarity



Selfie (Kai Cao)

Similarity = 0.56



Scanned driver license

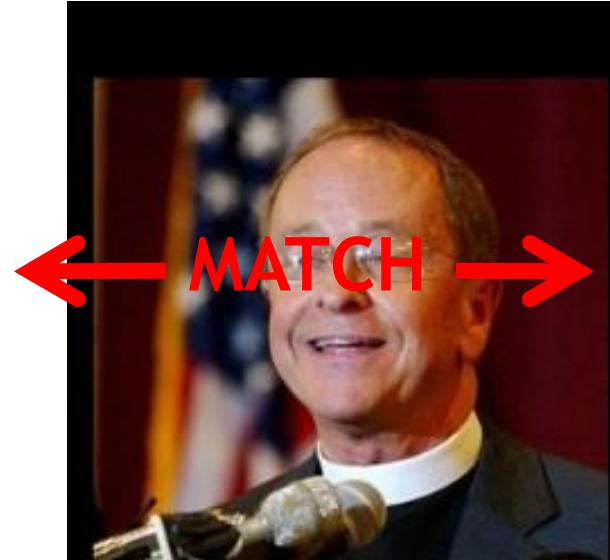
**Challenge: Choose a robust and salient representation**

# Identification: 1:N Comparisons

*Probe*



*Gallery*



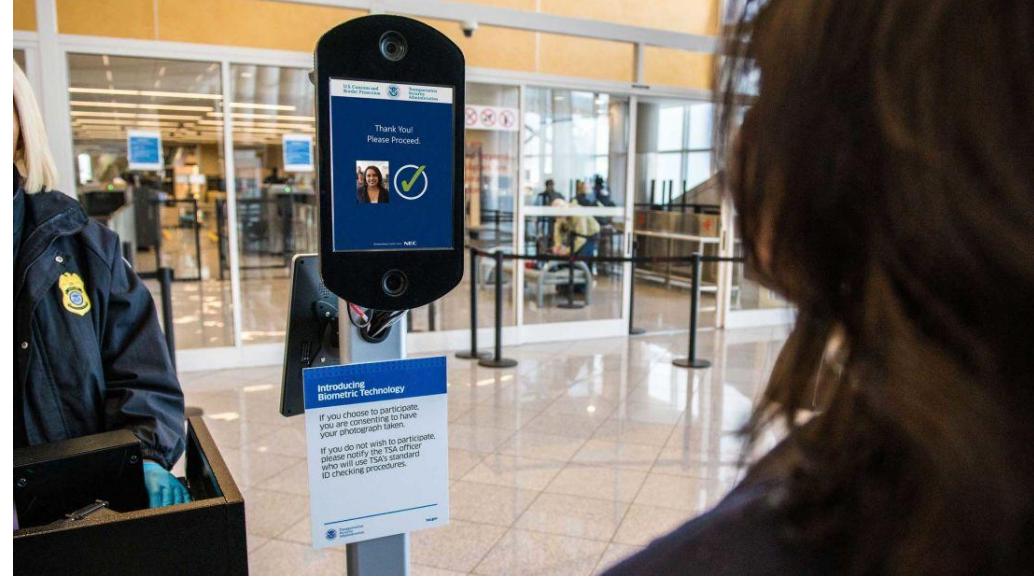
**-0.01**

The probe may or may not be in the gallery

# Face Recognition @ Airports (1:N)



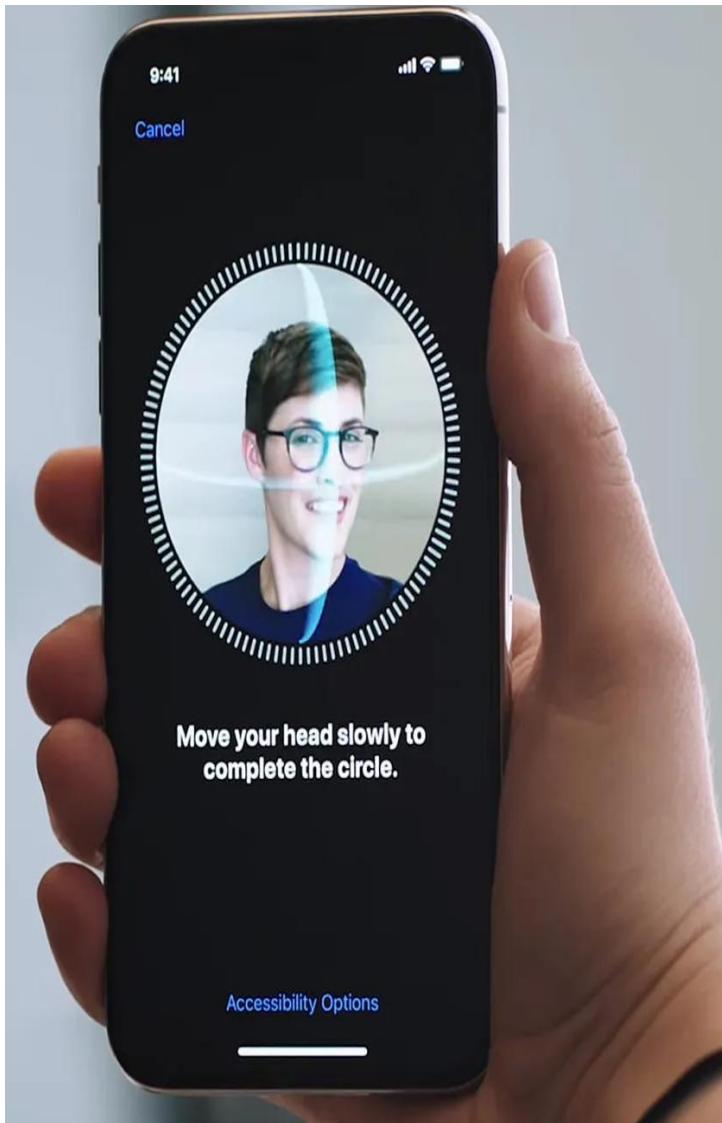
-66M travelers in 2024 at Madrid-Barajas Airport



How Airports Are Improving Travel

900,000 US passports were reported lost or stolen between January 2024--July 2025 (NY Times, Sept 7, 2025)

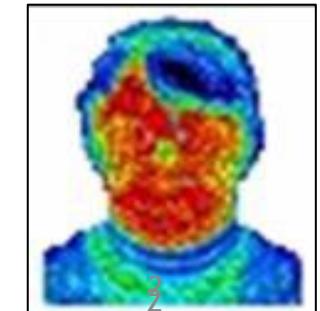
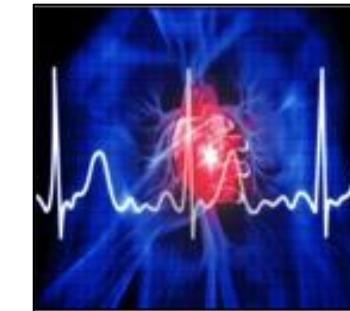
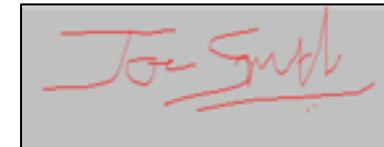
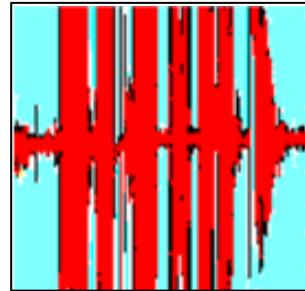
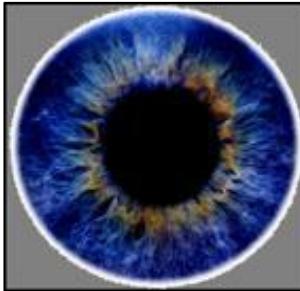
# Face Recognition for Mobile Unlock (1:1)



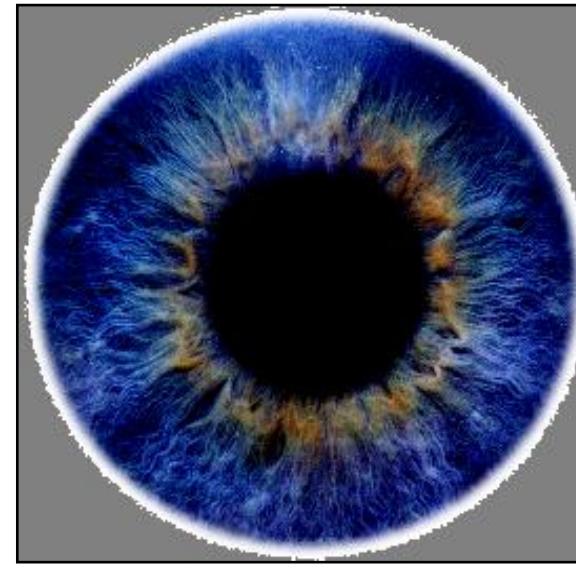
1.2 bn smartphones sold in 2024; 80% with biometrics

# Biometric Recognition

- We can no longer **trust** people based on PIN/PW & even government issued ID.
- Biometric Recognition: Automated, real-time person recognition by **body trait(s)**.
- **Biometrics is the only way to assure “*a person is who they say they are.*”**

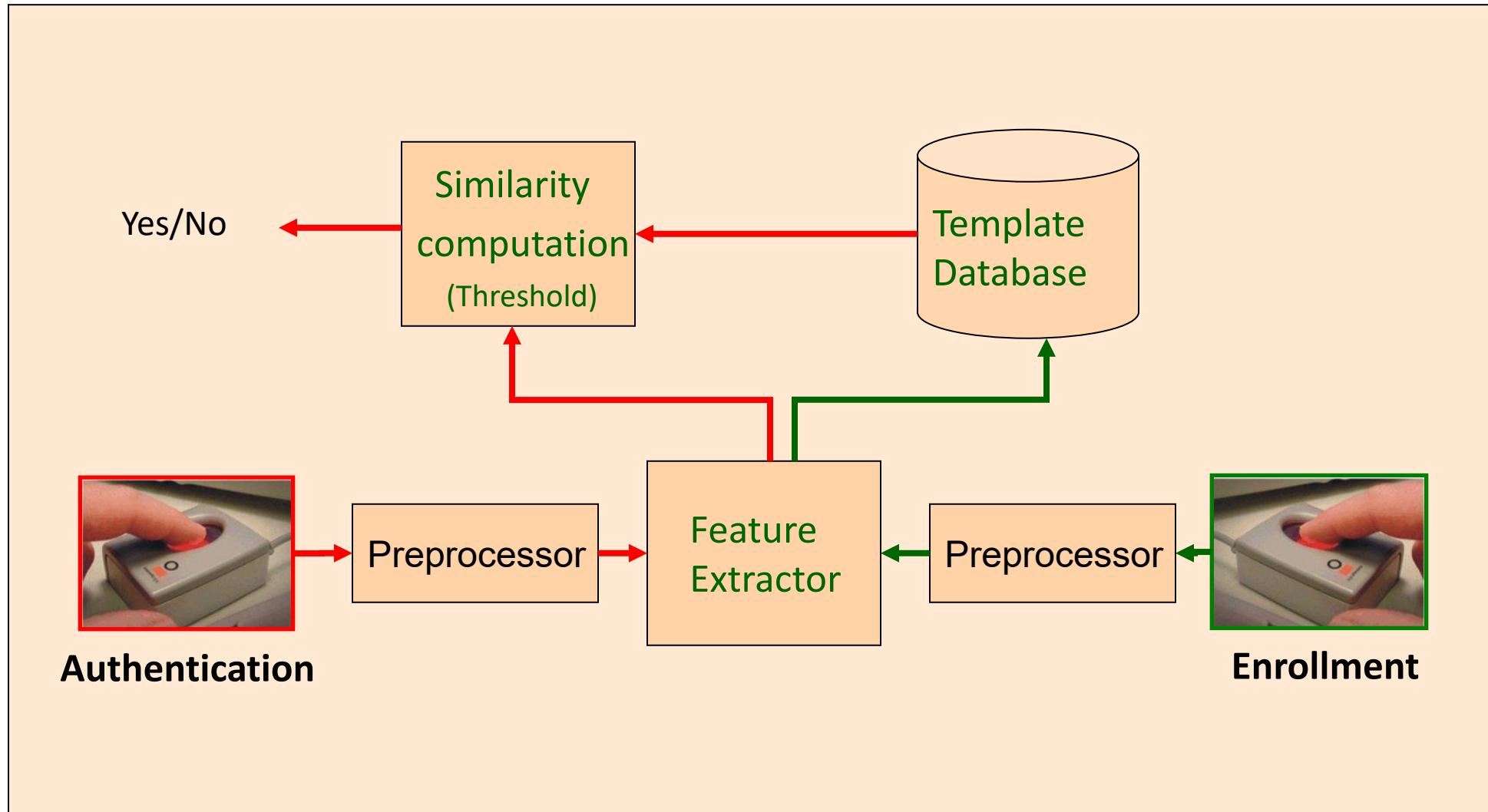


# Most Frequently Used Body Traits



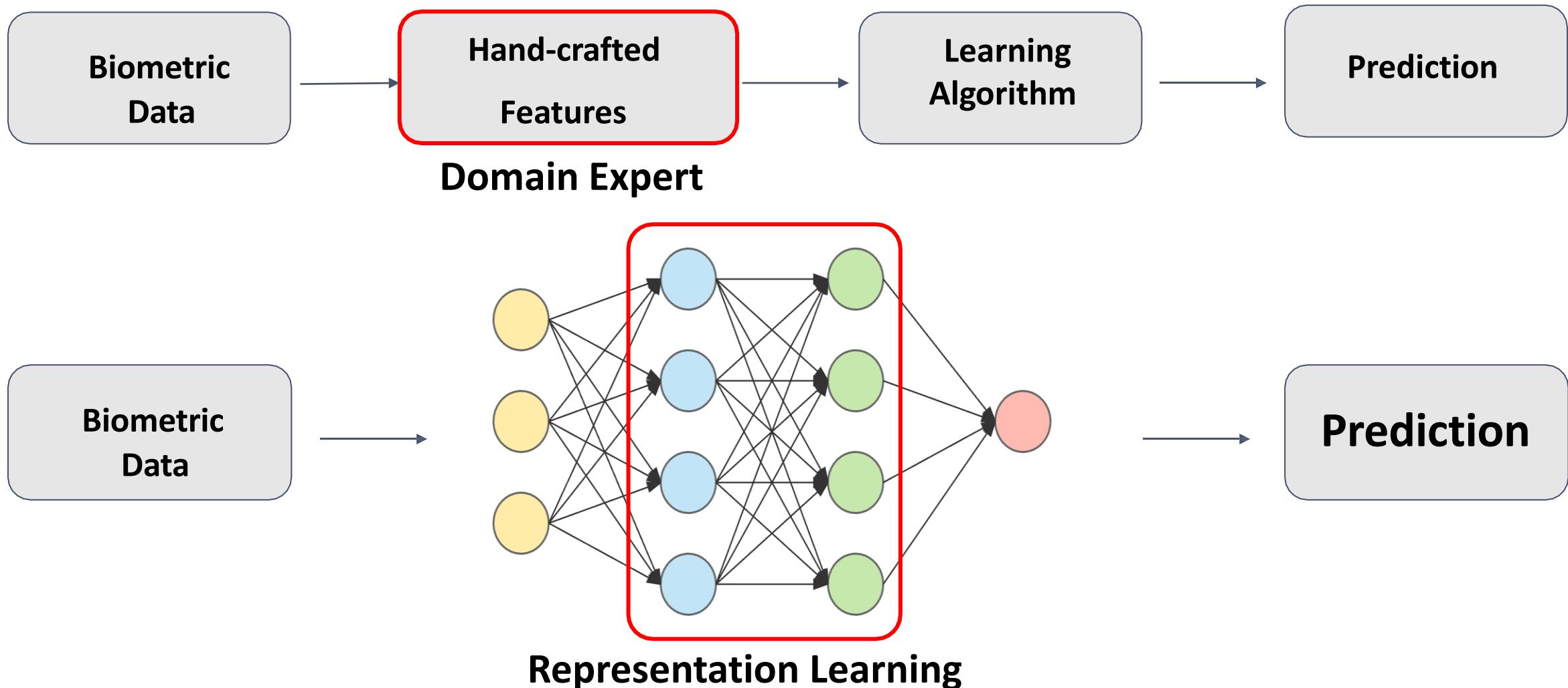
- Satisfy (?) **Individuality** and **permanence** properties; legacy databases.
- World population: 8.2B; **82 billion “distinct” fingerprints!!**
- Low face error rate in NIST: FNIR=0.0190 @ FPIR = 0.001; N=12M subjects.
- Extremely low latency: **~50 million face comparisons/second.**

# Biometric Recognition Systems



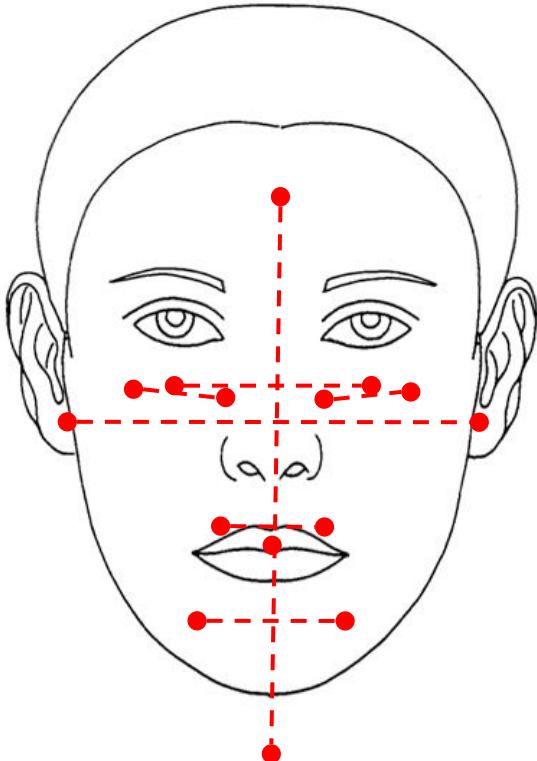
**Template:** compact & salient representation of biometric image/signal

# How to Compute Pair-wise Similarity?

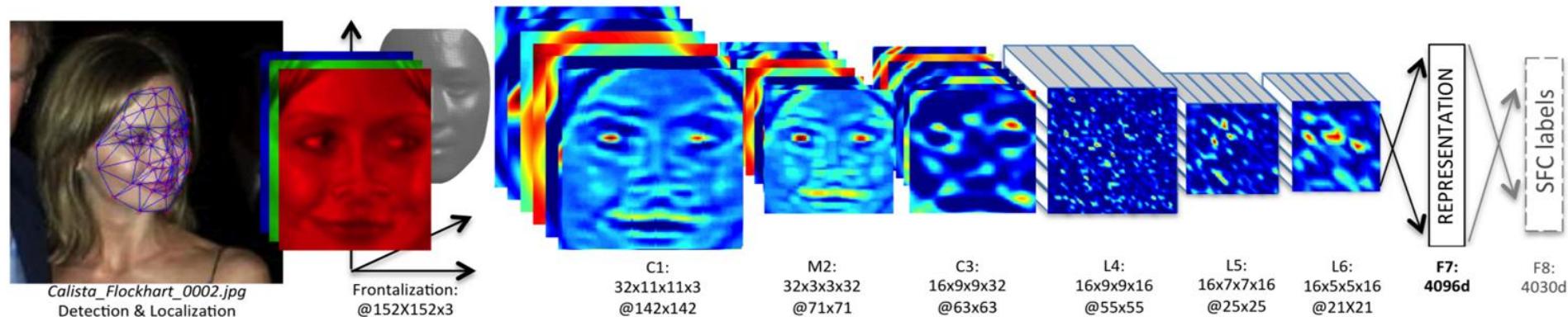


**Why not utilize both the representations?**

# Faces: Landmarks to Embeddings



Deep networks enabled progress in face recognition; but they lack interpretability of features and cannot explain why two faces belong to the same person.



20 inter-point distances for matching  
Bledsoe (1966)

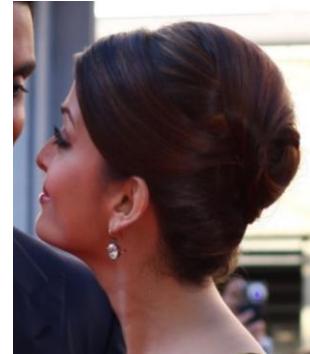
# Intra-person Variations and Inter-Person Similarity



Probe



0.991



0.743



0.241 (doppelganger)



0.991



0.991



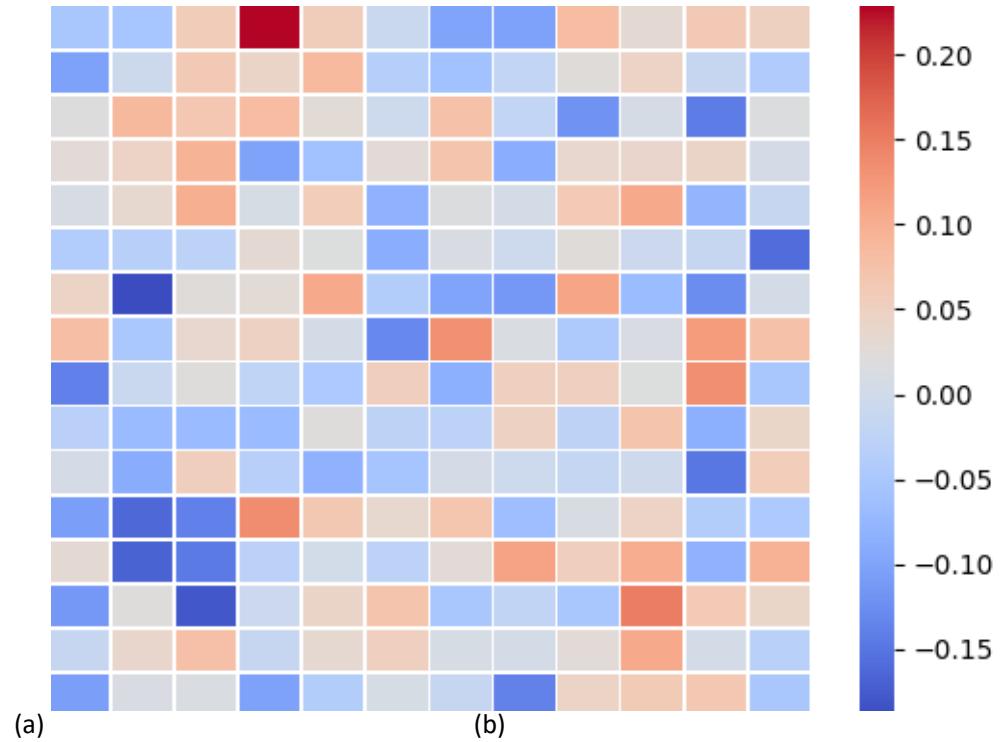
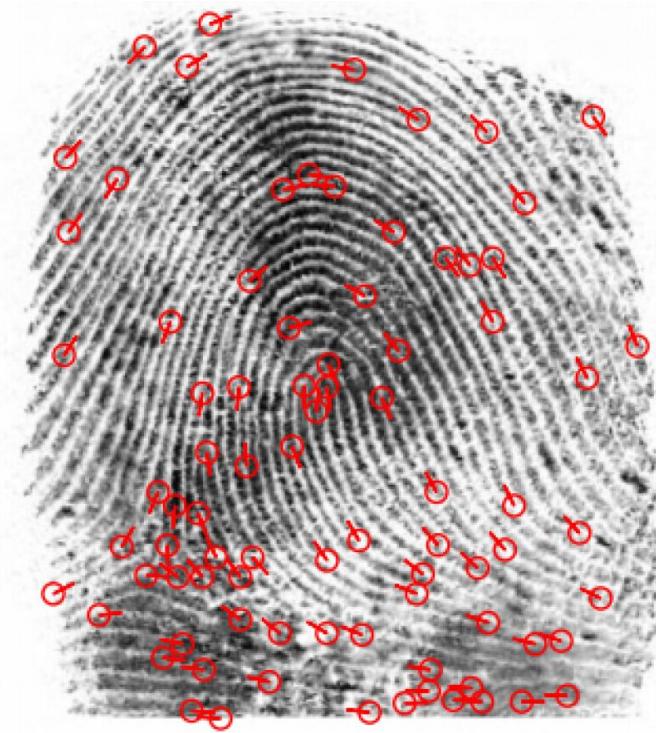
0.995



0.991 (identical twins)

**Identical twins occur in approximately 3.5 out of every 1,000 births**

# Fingerprints: Minutiae to Embeddings



- Minutiae points & 192-dimensional embedding shown as  $16 \times 12$  heat map.
- Fusion of these two representations improves recognition accuracy.

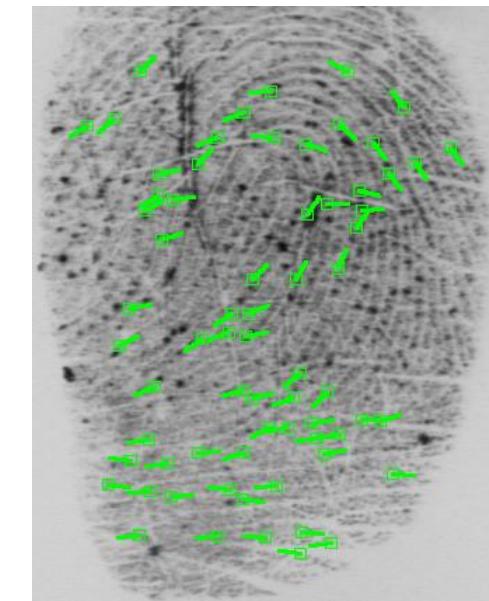
# Invariance to Fingerprint Quality



No. of false minutiae = 0



No. of false minutiae = 7



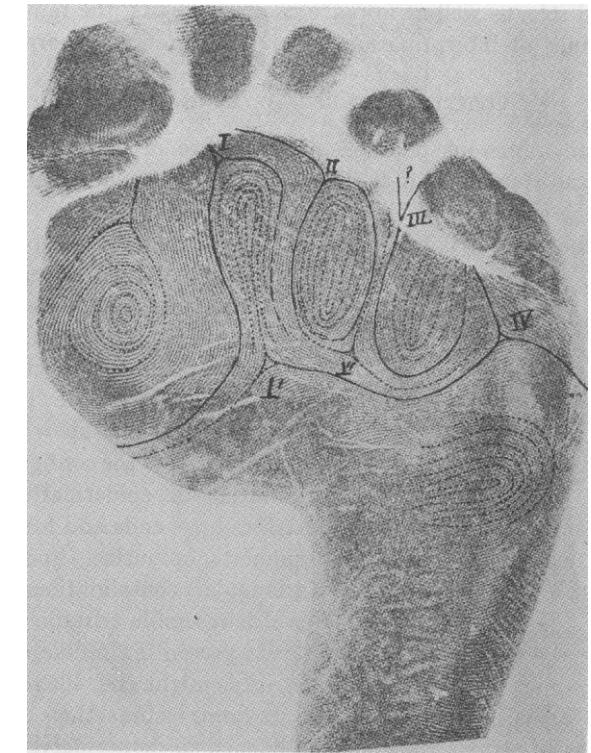
No. of false minutiae = 27

# Beginnings of Biometric Recognition

## Habitual Criminal Act (1869)

*“What is wanted is a means of classifying the records of habitual criminals, such that as soon as the particulars of the personality of any prisoner (whether description, measurements, marks, or photographs) are received, it may be possible to ascertain readily, and with certainty, whether his case is in the register, and if so, who he is.”*

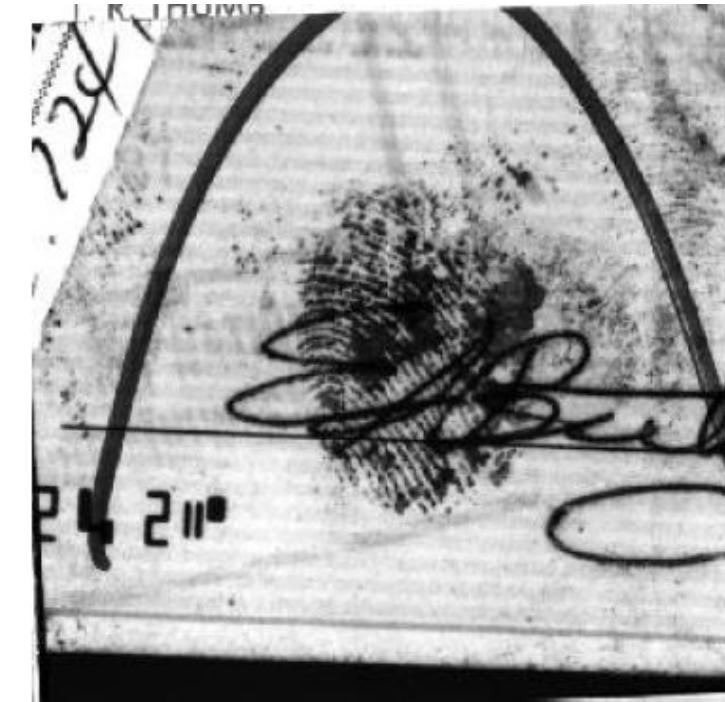
## Friction Ridge Patterns (~ 1880)



# Success Story #1: FBI Adopts Fingerprints (1924)

APPLICANT <b>Leave Blank</b>	LEAVE BLANK	TYPE OR PRINT ALL INFORMATION IN BLACK	LEAVE BLANK	
LAST NAME <b>NAME</b> FIRST NAME MIDDLE NAME		FBI LEAVE BLANK		
<b>Teacher, Theresa C.</b>		<b>Leave Blank</b>		
SIGNATURE OF PERSON FINGERPRINTED		ALIAS OR AKA	NY921940Z	
RESIDENCE OF PERSON FINGERPRINTED		Formerly:	NYSTED Dept-FPU	
<b>328 School Street</b> <b>Hometown, NY 11111</b>		<b>Theresa Smith</b>	<b>ALBANY, NY</b>	
DATE <b>5/01/02</b>	SIGNATURE OF OFFICIAL TAKING FINGERPRINTS	DATE OF BIRTH DOB	12/31/70	
EMPLOYER AND ADDRESS (if applicable)		SEX <b>US</b>	POB	
<b>Smart Falls Central School Dist</b> <b>Smart Falls, NY 11111</b>		<b>F</b> <b>W</b> <b>5'7"</b> <b>155</b> <b>Gr</b> <b>Bro</b>	<b>Ohio</b>	
REASON / FINGERPRINTED		CLASS	Leave Blank	
Leave Blank		Leave Blank	Leave Blank	
Leave Blank		Leave Blank	Leave Blank	
1. R. THUMB	2. R. INDEX	3. R. MIDDLE	4. R. RING	5. R. LITTLE
6. L. THUMB	7. L. INDEX	8. L. MIDDLE	9. L. RING	10. L. LITTLE
IDENTIX TP600 1259				
LEFT FOUR FINGERS TAKEN SIMULTANEOUSLY				
L. THUMB R. THUMB				
RIGHT FOUR FINGERS TAKEN SIMULTANEOUSLY				

FBI Tenprint (TP) card, 1924



Latent print from a crime scene (LP)

- TP to TP search: Background check for prior criminal history; individual finger scores fused.
- LP to TP search: who left partial print at crime scene? Difficult problem.

# From Manual Comparison to AFIS



1960



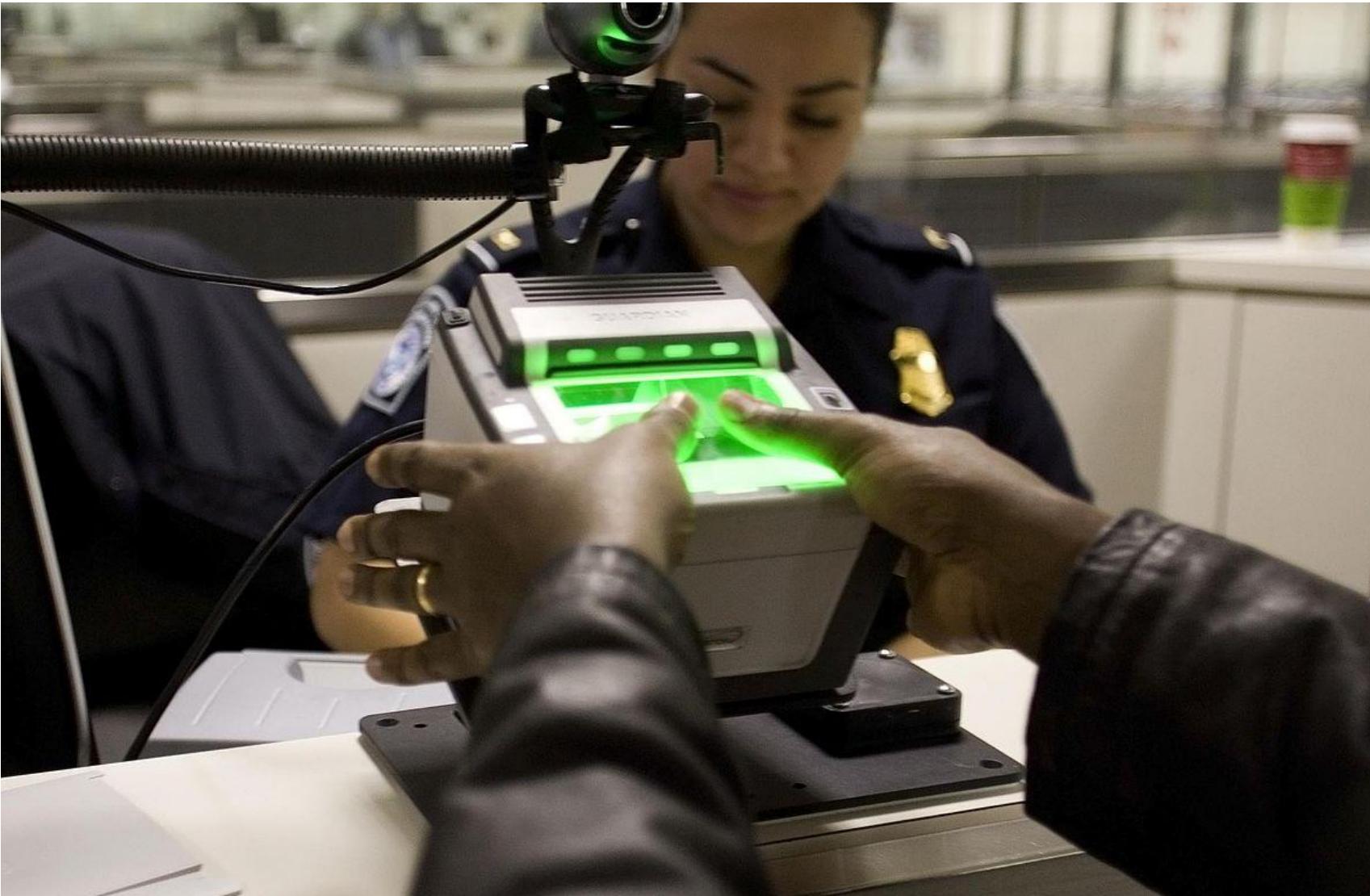
1980s (725K TPs; 15K comparisons/sec)

- **FBI Face & fingerprint repository (July 2025): ~170M master tenprints, access to 640M photos**
- **Average daily requests for search: ~200K (30K criminal; 120K civil; 50K other)**

# 9/11 Terrorist Attacks (2001)



# Success Story #2: US-VISIT(2003)



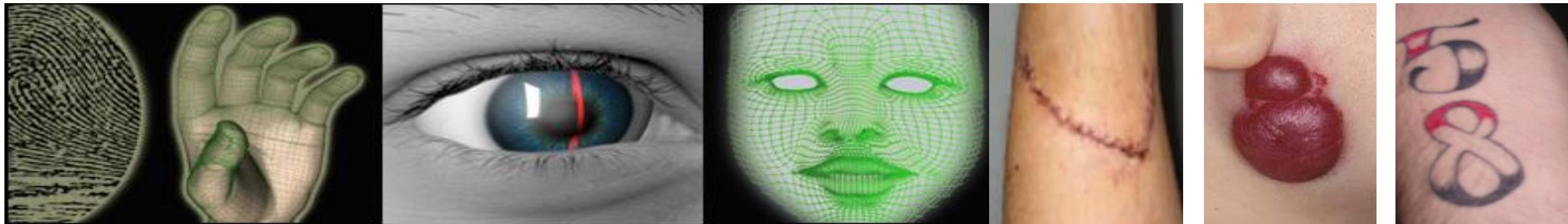
USA Patriot Act: enhance national security by using biometrics for tracking terrorists (2001) 19

# Entry/Exit Systems (ongoing)

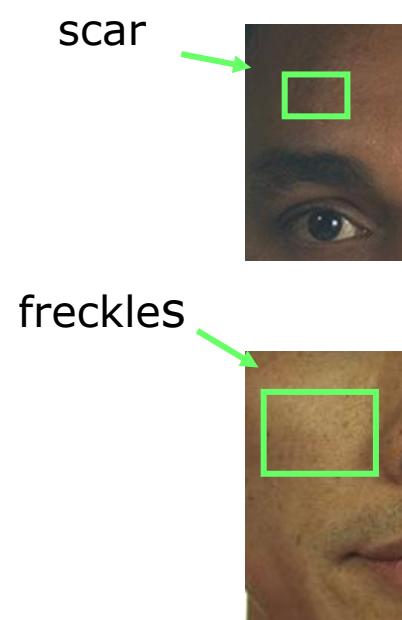


- Airport entrance, baggage drop-off & flight boarding use face recognition.
- Passenger photo is compared with DHS database (passports, flight manifest).

# FBI Next Generation Identification (2008)



First AFIS in 1980s; IAFIS launched in 1999; use of palmprint, iris, scars, marks & tattoos (2008)



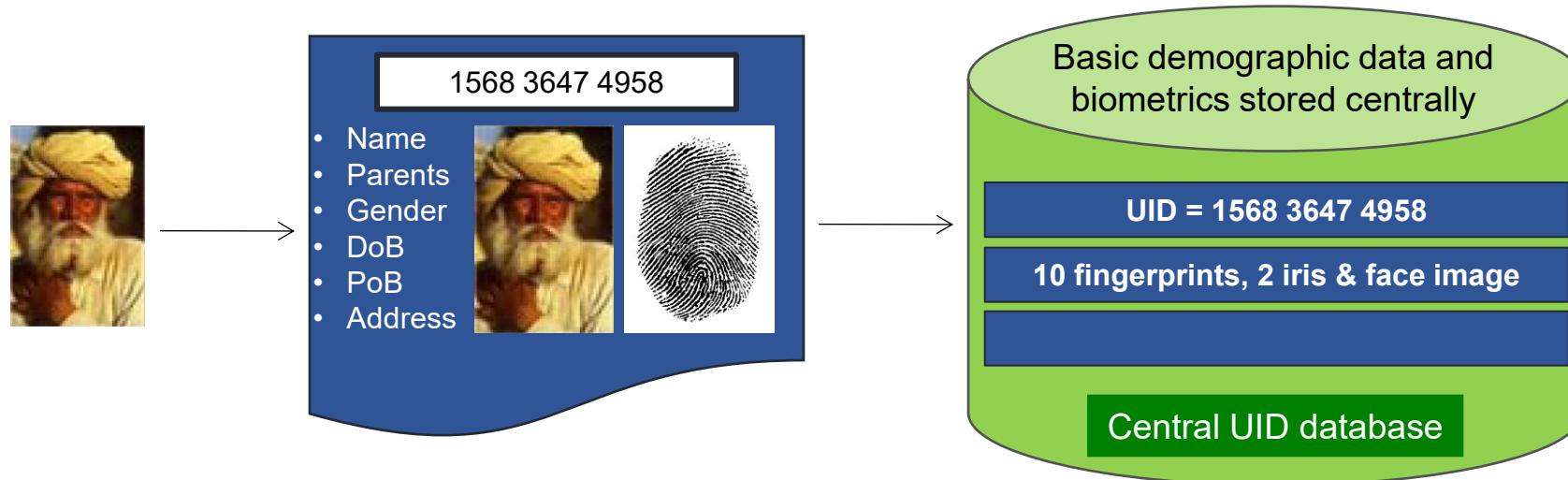
Large birth mark



Tattoo

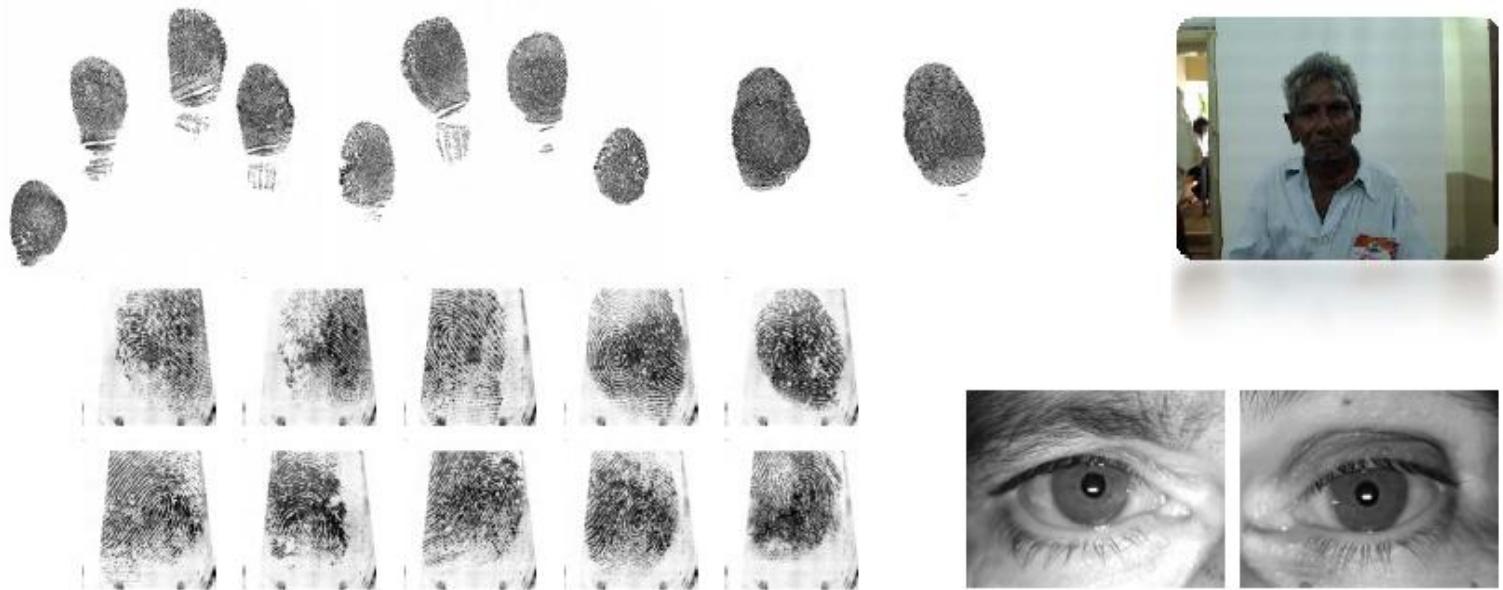
# Success Story #3: Aadhaar (2008)

*Issue a 12-digit unique identification number (UID) to Indian residents that can be used to **eliminate duplicate and fake identities**.*



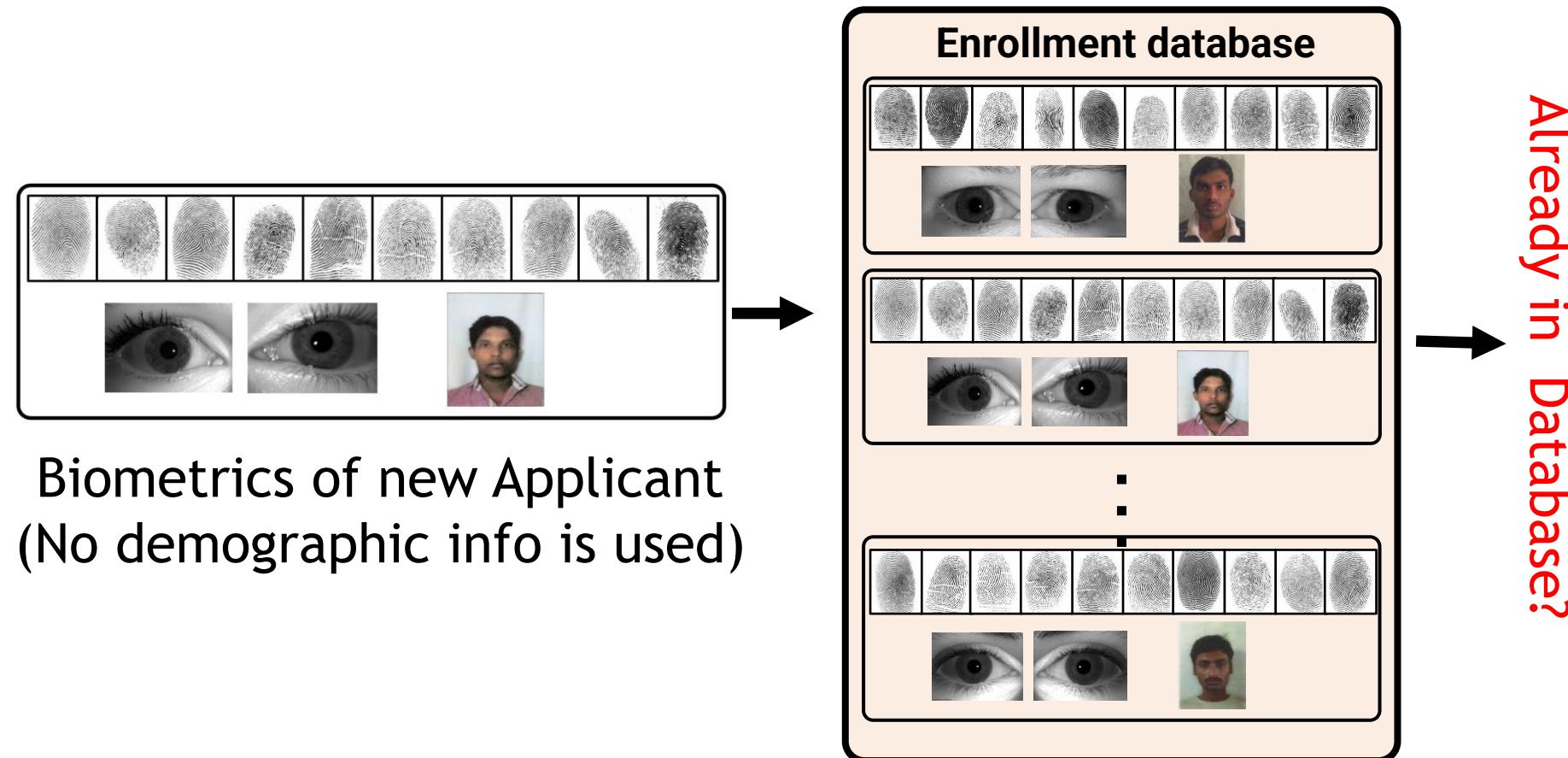
**Efficient, transparent, and targeted delivery of subsidies verified by biometrics**

# Enrollment



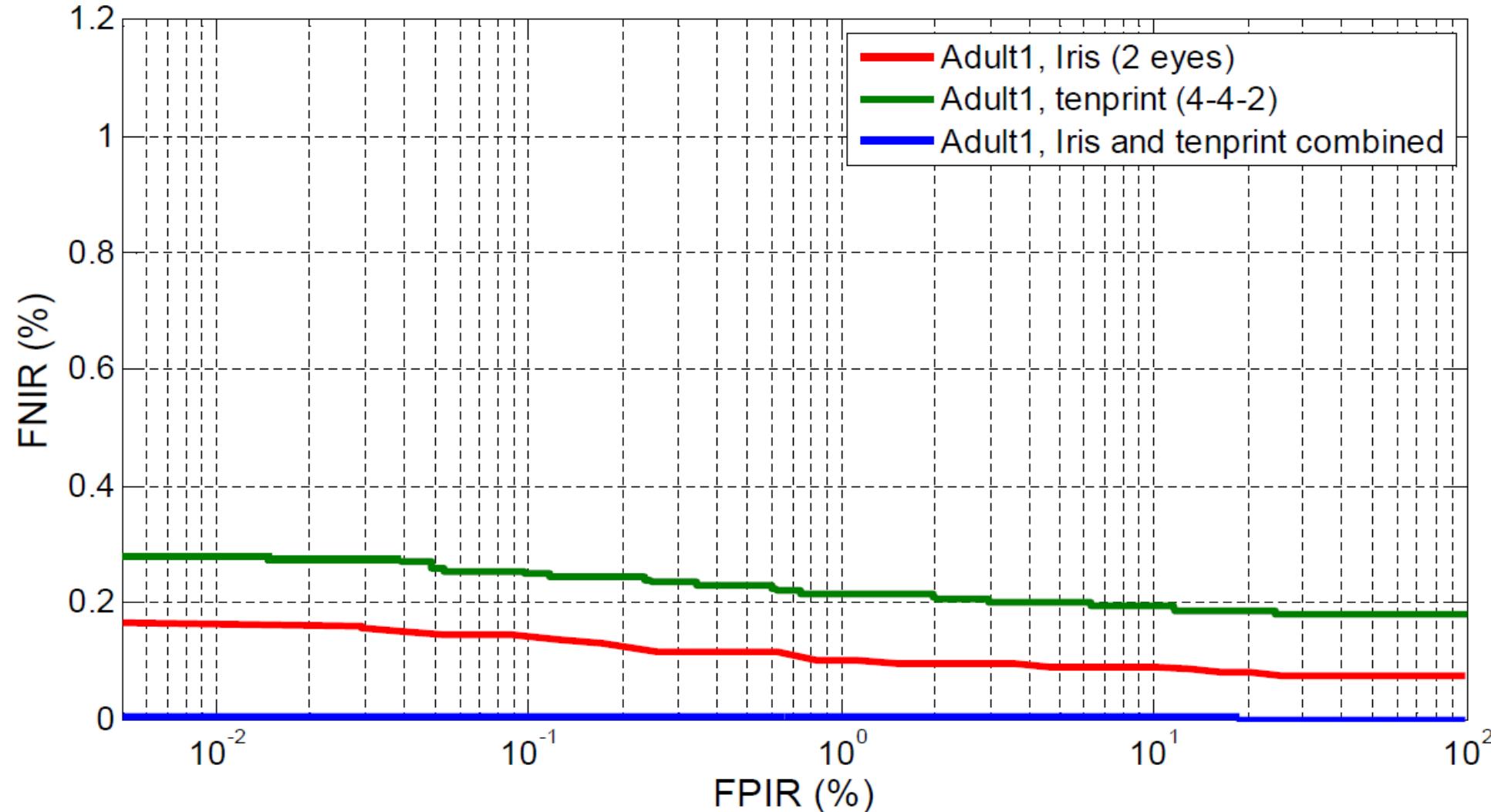
Face, slap fingerprints (4-4-2) and 2 iris images are captured; ~1.4 billion enrollment.

# De-duplication (1 to 1.4 billion comparison)



Fusion of 10 fingerprints, two irises and face is necessary to distinguish among ~1.4 billion individuals

# Benefit of Biometric Fusion



- FPIR: Fraction of non-mated searches where one or more enrolled identities are returned at or above the threshold
- FNIR: Fraction of mated searches where the enrolled mate is outside the top R rank or comparison score is below the threshold

# Authentication (12-digit ID + fingerprint)



~80 million biometric-enabled authentications/day

# Success Story #4: Mobile Phone Unlock & Payment



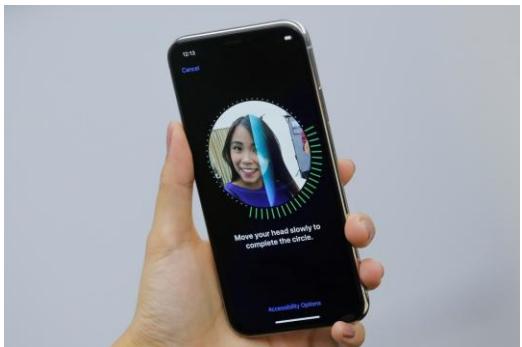
The Pantech GI100 (2004)



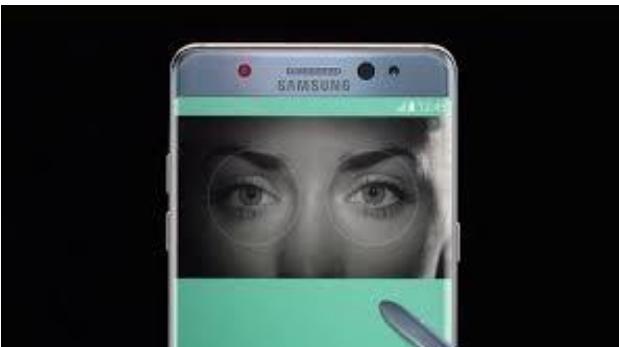
Touch ID, iPhone 5S (2013)



Apple Pay, iPhone 6 (2014)



Face ID, iPhone X (2017)



Delta ID, phone with iris



Vivo In-Display Scanner (2018)



Galaxy S10's in-screen ultrasonic

**Touch ID was revolutionary: convenience, accuracy, security, cost (1\$ US) & latency**

# Biometric Recognition Accuracy: NIST

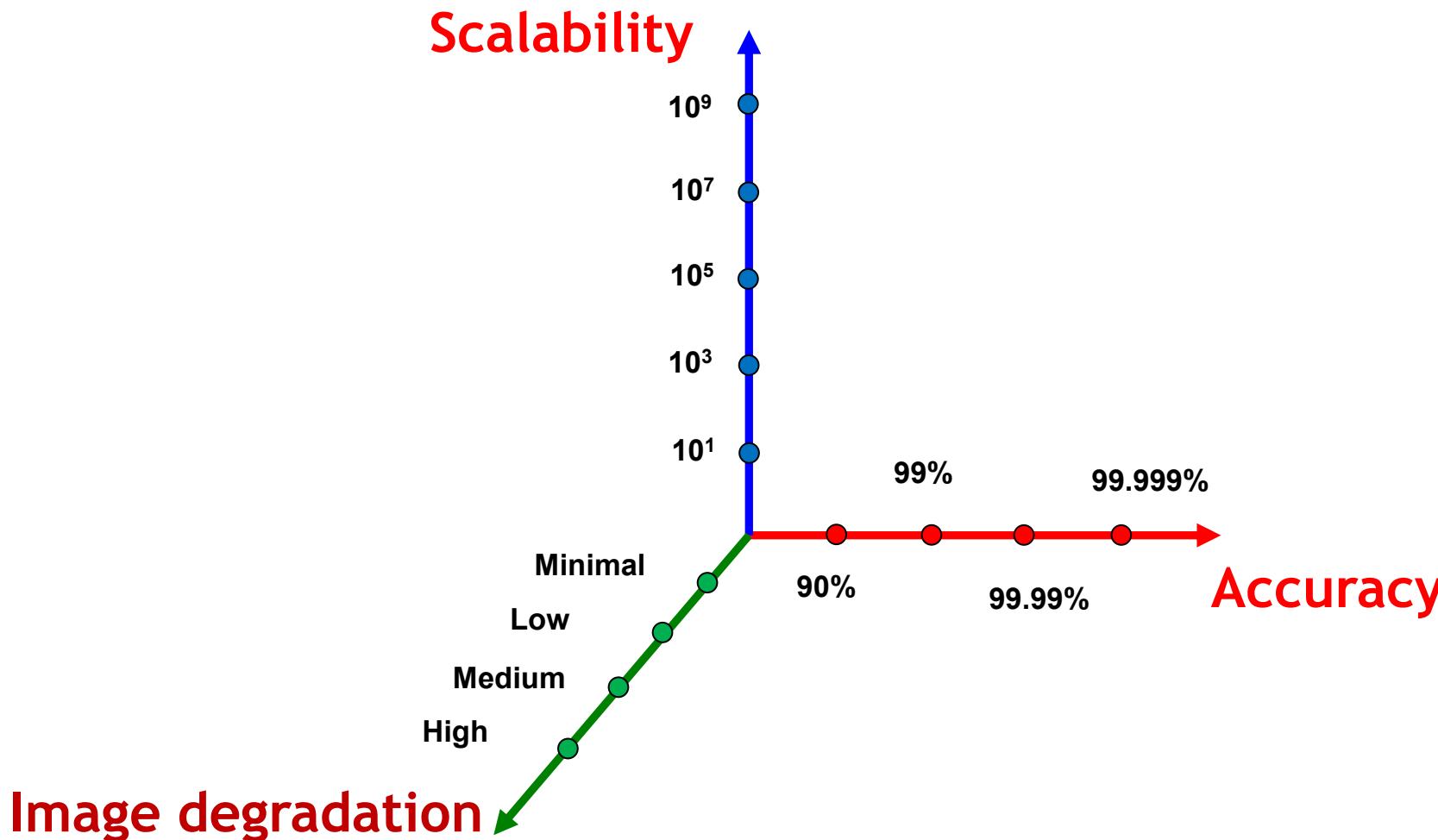
## 1. Face (constrained to semi-constrained)

- 1:1 comparison:  $\text{FNMR} = 0.0026$  @  $\text{FMR} = 0.000001$ . **One in a million chance *you may be mistaken as someone else* and 26 out of 10,000 times you may not be recognized as you.**
- 1:N comparison ( $N=12M$ ):  $\text{FNIR}=0.0190$  @  $\text{FPIR} = 0.001$ .

## 2. Fingerprint

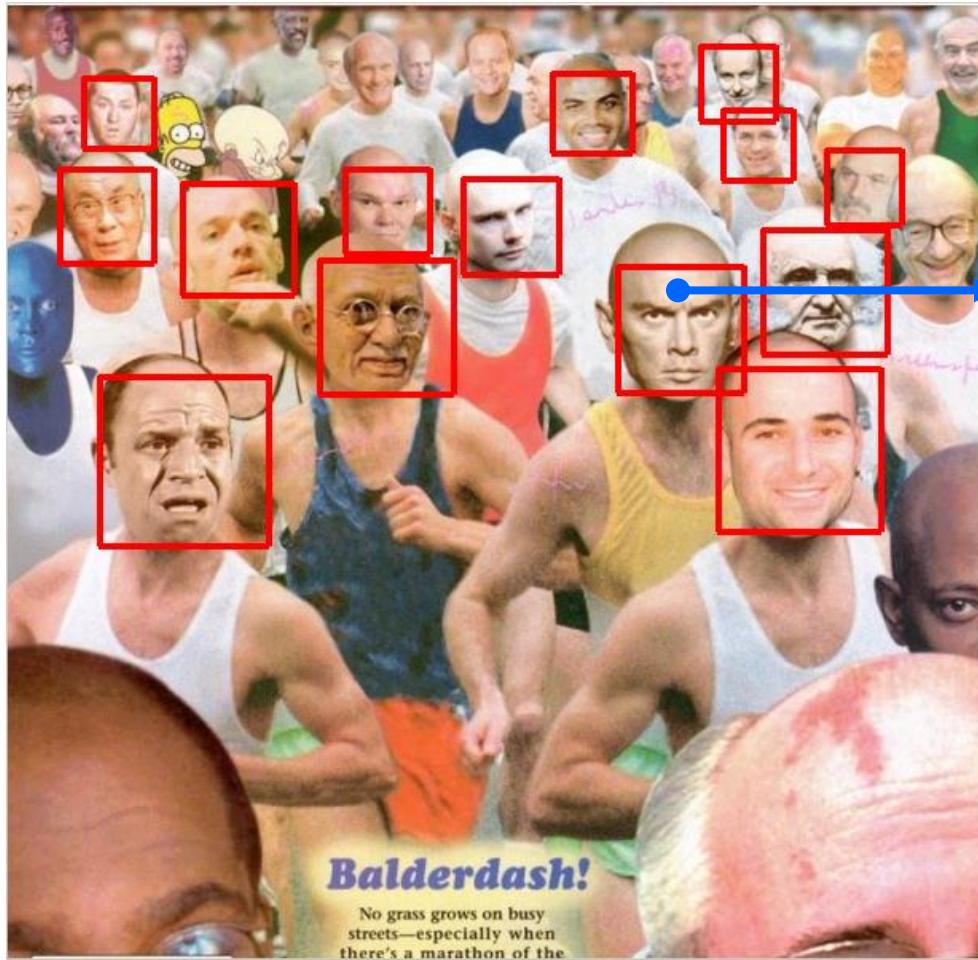
- One-finger (five-finger) Accuracy:  $\text{FNMR}=0.0047$  (0.0011) @  $\text{FMR}=0.0001$ . **One in 10,000 chance of you being mistaken as someone else, and 47 out of 10,000 times you may not be recognized as you.**
- Latent fingerprint accuracy ( $N=32M$ ) Rank-1 retrieval=96.12%.

# Challenges and Opportunities



Throughput, presentation attacks, template security, usability, acceptability

# Face Detection



Segmentation of individual faces

Gallery



1959



1960



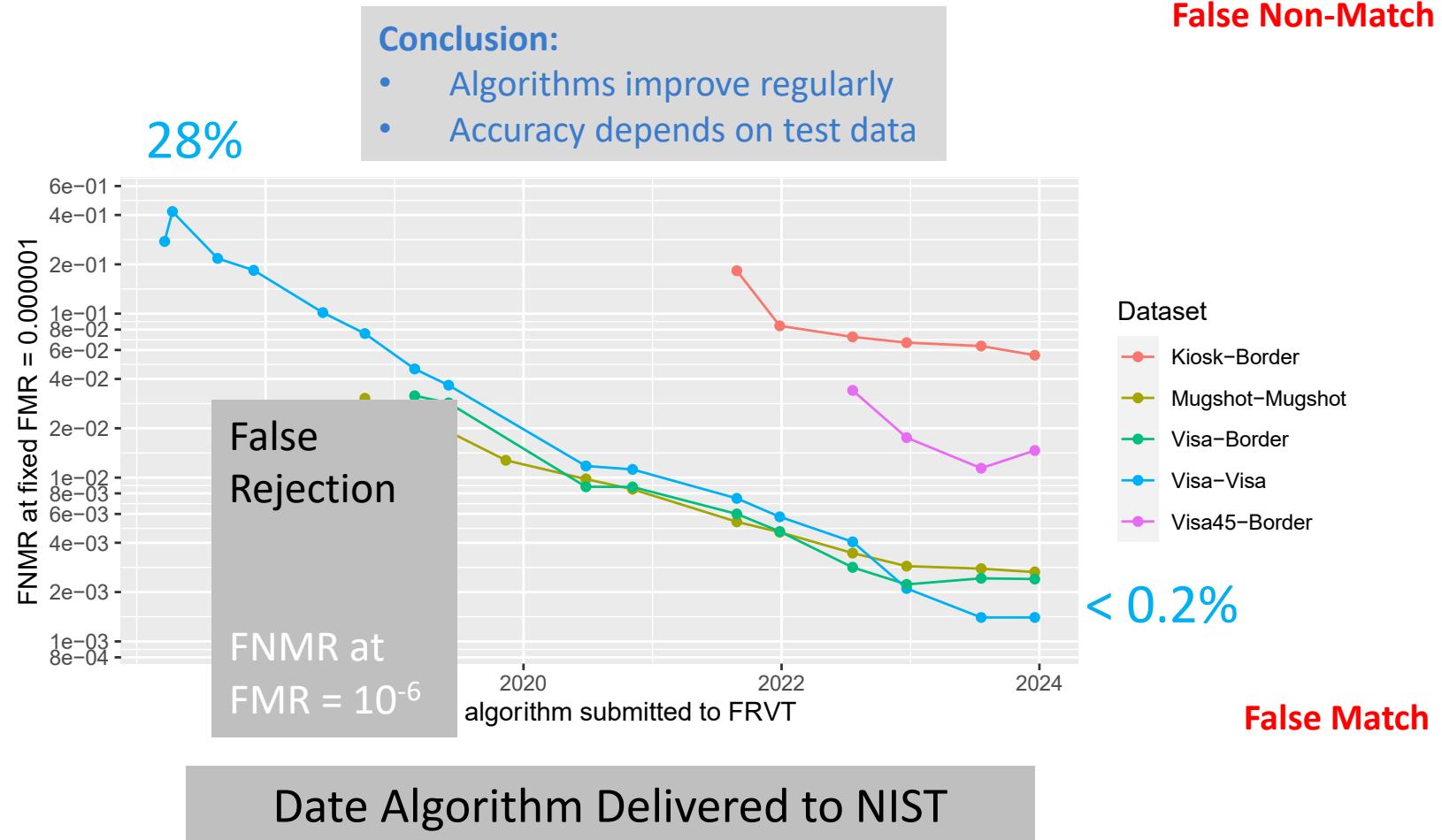
1972



1973

Yul Brenner (1920-1985); The King and I (1956), Ten Commandments (1956),..

# 1:1 Face Accuracy Gains Continue



Challenges: Large pose change, image quality, aging



# Wrongful Arrest Based on Face Recognition Technology

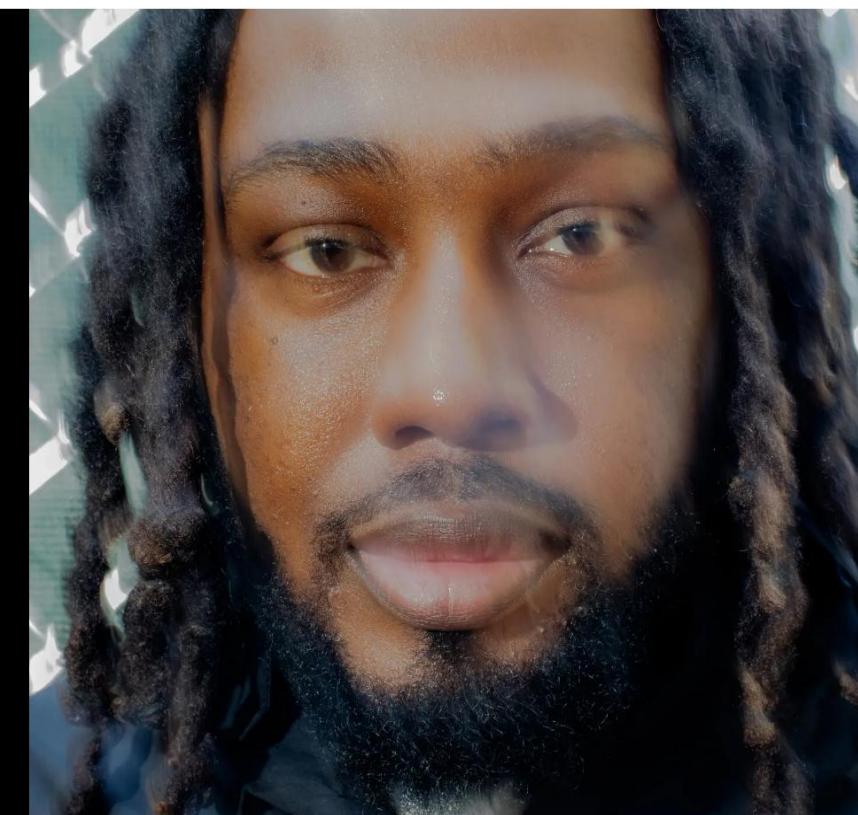


NEW YORK | How the N.Y.P.D.'s Facial Recognition Tool Landed the Wrong Man in Jail

Account

## *How the N.Y.P.D.'s Facial Recognition Tool Landed the Wrong Man in Jail*

Trevis Williams is eight inches taller than a man accused of flashing a woman in Union Square in February. The police arrested him anyway.



- SOTA algorithms have 99.9% search accuracy, but it drops significantly for low-quality CCTV frames images are used, as in CCTV frames.
- It is not always the fault of technology, but how it is used.

# Unconstrained Face Recognition



Match Score (KPE-RPE [1]): **0.5085**

**Tight Crop**

Sep 7, 2025



Match Score (KP-RPE[1]): **0.4296**

**Loose Crop**

June 28, 2015

# Vision Language Models to Generate Explanations



Date: Sep 7, 2025



Date: June 28, 2015

**GPT-4o Prompt:** Please examine these two face images. Are they of the same person or not? Explain your reasoning.

- **Jawline & Chin:** Both individuals have a broad, angular jawline with a prominent chin.
- **Eyebrows & Forehead:** Dense, straight eyebrows and similar brow ridge shape.
- **Nose Shape:** The nose bridge and nostril shape are consistent across both images.
- **Smile & Teeth:** Identical teeth shape and smile structure in the second image matches the expressive shout in the first.
- **Skin Tone & Texture**

Identical skin tone and minor facial blemishes (such as faint moles or acne spots) align well between the two images.

- **Hairline & Hair Texture**

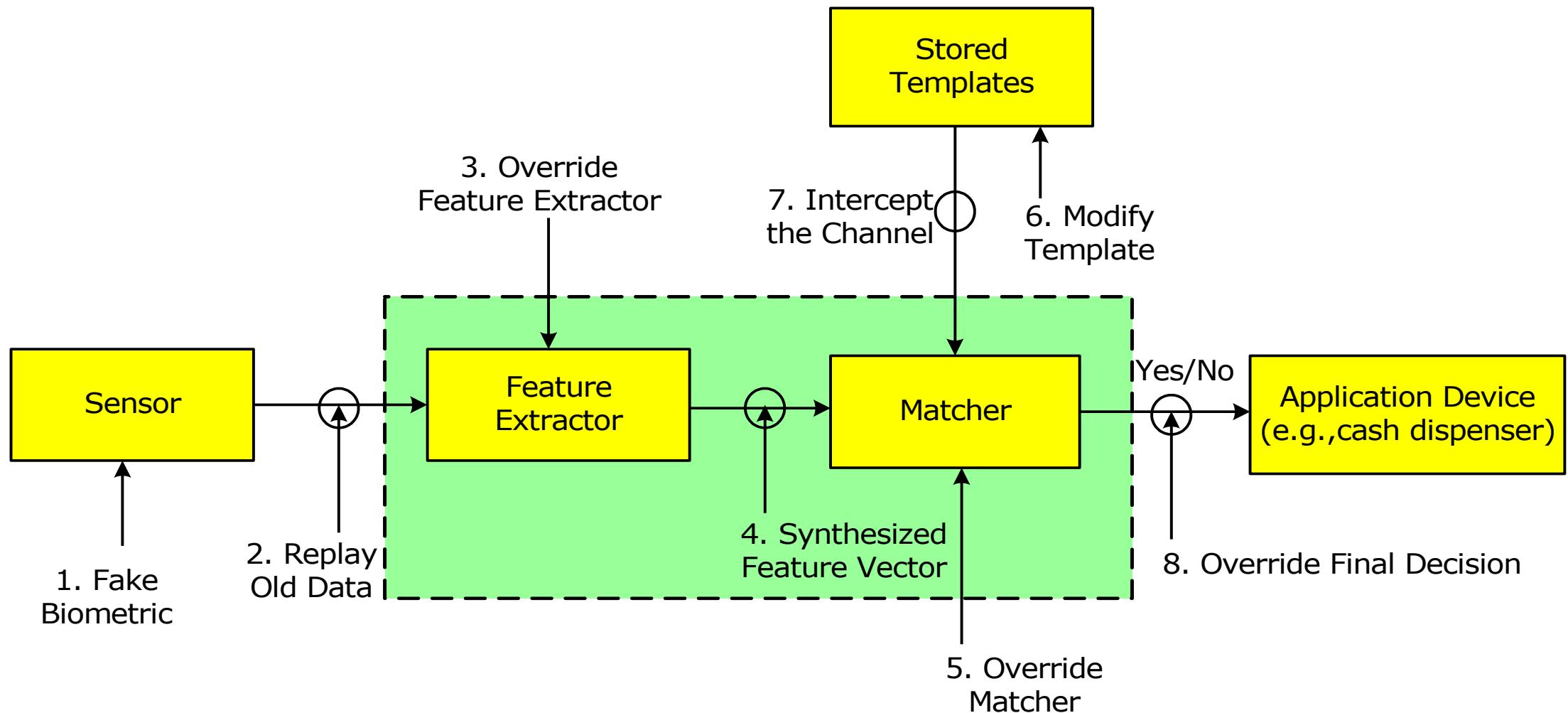
While the hairstyle is slightly different, the hair texture, direction of hair growth, and hairline shape are consistent.

- **Clothing & Context Clues**

Both are wearing **Nike-branded sports gear**, suggesting they are in similar athletic contexts — consistent with a professional tennis player.

**“Therefore, yes, the two images are of the same person. The facial features, expressions, context, and fine details all strongly align. The similarity score is 94.7”**

# Security of Biometric Systems



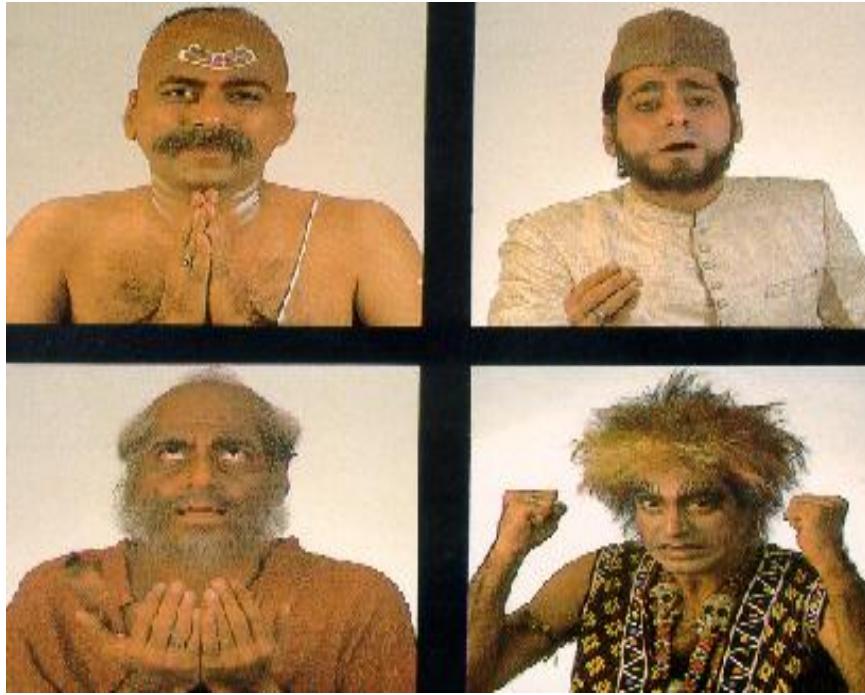
# Presentation Attacks



Gummy finger



Fake hand



Face disguise



Fake eyeball



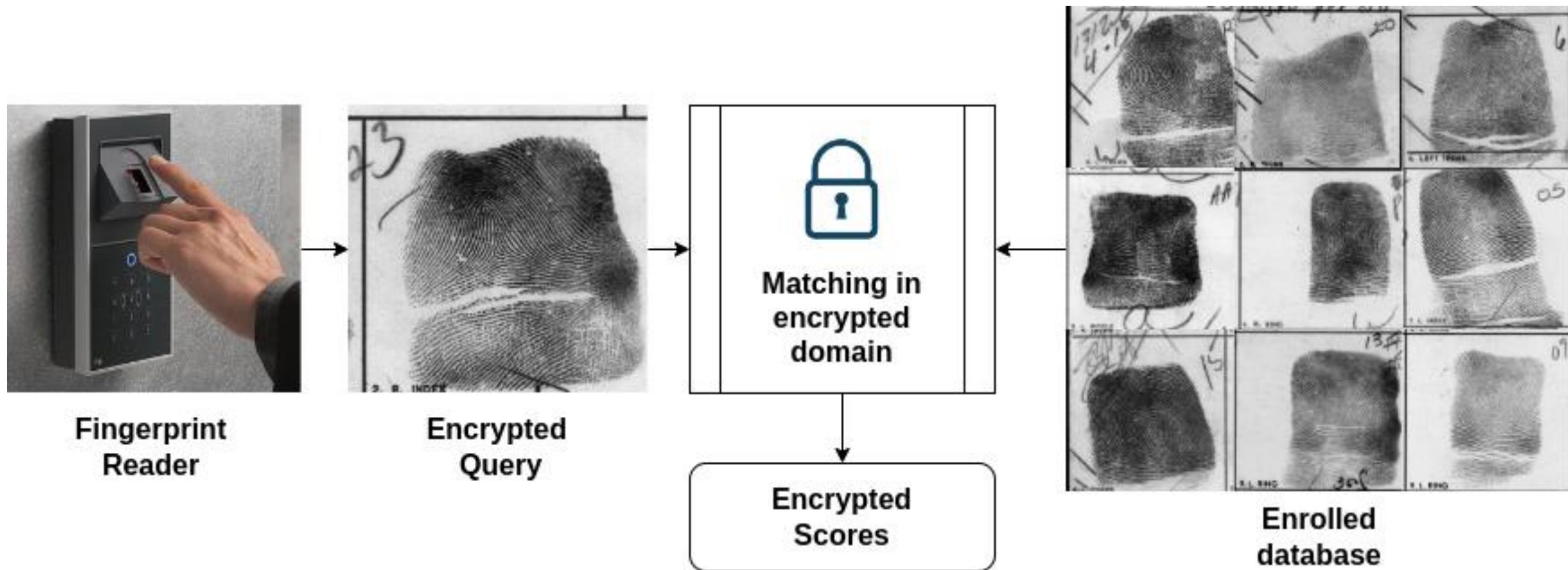
Silicone Mask

Print



Fingerprint alteration

# Privacy-Preserving Authentication



Accurate & fast image search in encrypted domain is feasible @100 M gallery; no leakage of biometric

# Summary

- **Biometrics is the only way to assure “*a person is who they say they are.*”**
- Fingerprint and face will continue to dominate the market; use of face is growing (e.g., ID verification, surveillance).
- Growing deployments: national-level civil registration, border crossing, banking, PoS payment, travel and immigration.
- **Research challenges:** recognition under non-ideal conditions, access to large data (real + synthetic), use NIST benchmarks to understand SOTA (accuracy + latency), multi-model & multi-modal, presentation and deep fake attacks, RoI,..